

Form **990****Return of Organization Exempt From Income Tax**

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except private foundations)

- ▶ Do not enter social security numbers on this form as it may be made public.  
 ▶ Information about Form 990 and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

**2016****Open to Public Inspection**Department of the Treasury  
Internal Revenue Service**A** For the 2016 calendar year, or tax year beginning **7/01**, 2016, and ending **6/30**, 2017**B** Check if applicable:

- ☐ Address change  
☐ Name change  
☐ Initial return  
☐ Final return/terminated  
☐ Amended return  
☐ Application pending

**C**  
**AUDUBON CANYON RANCH, INC.**  
**4900 HIGHWAY ONE**  
**STINSON BEACH, CA 94970**

**D** Employer identification number**94-6069140****E** Telephone number**415-868-9244****G** Gross receipts \$ **10,897,230.**

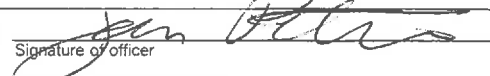
**F** Name and address of principal officer: **JOHN PETERSEN**  
**SAME AS C ABOVE**

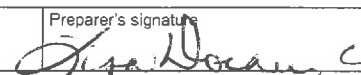
**H(a)** Is this a group return for subordinates? ☐ Yes ☒ No**H(b)** Are all subordinates included? ☐ Yes ☐ No  
If "No," attach a list. (See instructions)**I** Tax-exempt status ☒ 501(c)(3) ☐ 501(c) ( ) (insert no.) ☐ 4947(a)(1) or ☐ 527**J** Website: ▶ **WWW.EGRET.ORG****H(c)** Group exemption number ▶**K** Form of organization: ☒ Corporation ☐ Trust ☐ Association ☐ Other ▶ **L** Year of formation: **1962** **M** State of legal domicile: **CA****Part I Summary**

<b>Activities &amp; Governance</b>	<b>1</b>	Briefly describe the organization's mission or most significant activities: <b>AUDUBON CANYON RANCH PROTECTS NATURE THROUGH LAND PRESERVATION, ECOSYSTEM RESTORATION, AND ENVIRONMENTAL EDUCATION.</b>		
	<b>2</b>	Check this box <input type="checkbox"/> if the organization discontinued its operations or disposed of more than 25% of its net assets.		
	<b>3</b>	Number of voting members of the governing body (Part VI, line 1a) .....	<b>3</b> <b>21</b>	
	<b>4</b>	Number of independent voting members of the governing body (Part VI, line 1b) .....	<b>4</b> <b>21</b>	
	<b>5</b>	Total number of individuals employed in calendar year 2016 (Part V, line 2a) .....	<b>5</b> <b>36</b>	
	<b>6</b>	Total number of volunteers (estimate if necessary) .....	<b>6</b> <b>700</b>	
<b>Revenue</b>	<b>7a</b>	Total unrelated business revenue from Part VIII, column (C), line 12 .....	<b>7a</b> <b>0.</b>	
	<b>b</b>	Net unrelated business taxable income from Form 990-T, line 34 .....	<b>7b</b> <b>0.</b>	
	<b>8</b>	Contributions and grants (Part VIII, line 1h) .....	<b>Prior Year</b> <b>1,145,966.</b> <b>Current Year</b> <b>1,626,530.</b>	
	<b>9</b>	Program service revenue (Part VIII, line 2g) .....		
	<b>10</b>	Investment income (Part VIII, column (A), lines 3, 4, and 7d) .....	<b>1,313,605.</b> <b>3,267,864.</b>	
	<b>11</b>	Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e) .....	<b>-13,850.</b> <b>7,239.</b>	
	<b>12</b>	Total revenue — add lines 8 through 11 (must equal Part VIII, column (A), line 12) ....	<b>2,445,721.</b> <b>4,901,633.</b>	
	<b>Expenses</b>	<b>13</b>	Grants and similar amounts paid (Part IX, column (A), lines 1-3) .....	
		<b>14</b>	Benefits paid to or for members (Part IX, column (A), line 4) .....	
		<b>15</b>	Salaries, other compensation, employee benefits (Part IX, column (A), lines 5-10) .....	<b>1,829,157.</b> <b>2,069,662.</b>
<b>16a</b>		Professional fundraising fees (Part IX, column (A), line 11e) .....		
<b>b</b>		Total fundraising expenses (Part IX, column (D), line 25) ▶ <b>385,535.</b>		
<b>17</b>		Other expenses (Part IX, column (A), lines 11a-11d, 11f-24e) .....	<b>1,148,730.</b> <b>1,144,627.</b>	
<b>18</b>		Total expenses. Add lines 13-17 (must equal Part IX, column (A), line 25) .....	<b>2,977,887.</b> <b>3,214,289.</b>	
<b>Net Assets or Fund Balances</b>	<b>19</b>	Revenue less expenses. Subtract line 18 from line 12 .....	<b>-532,166.</b> <b>1,687,344.</b>	
	<b>20</b>	Total assets (Part X, line 16) .....	<b>Beginning of Current Year</b> <b>37,852,719.</b> <b>End of Year</b> <b>39,129,042.</b>	
	<b>21</b>	Total liabilities (Part X, line 26) .....	<b>192,794.</b> <b>176,694.</b>	
	<b>22</b>	Net assets or fund balances. Subtract line 21 from line 20 .....	<b>37,659,925.</b> <b>38,952,348.</b>	

**Part II Signature Block**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.

**Sign Here** Signature of officer  Date **5/4/2018**  
**JOHN PETERSEN** EXECUTIVE DIR.  
 Type or print name and title

**Paid Preparer Use Only** Print/Type preparer's name **LISA DORAN, CPA** Preparer's signature  Date **5/1/18** Check ☒ if self-employed PTIN **P00791709**  
 Firm's name ▶ **DORAN & ASSOCIATES** Firm's EIN ▶ **262769279**  
 Firm's address ▶ **55 MITCHELL BOULEVARD, STE. 3** Phone no. **415-491-1130**  
**SAN RAFAEL, CA 94903**

May the IRS discuss this return with the preparer shown above? (see instructions) ☒ Yes ☐ No**BAA** For Paperwork Reduction Act Notice, see the separate instructions.

TEEA0113L 11/16/16

Form **990** (2016)

**Application for Automatic Extension of Time To File an Exempt Organization Return**▶ **File a separate application for each return.**▶ **Information about Form 8868 and its instructions is at [www.irs.gov/form8868](http://www.irs.gov/form8868).**

OMB No. 1545-1709

**Electronic filing (e-file).** You can electronically file Form 8868 to request a 6-month automatic extension of time to file any of the forms listed below with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, for which an extension request must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit [www.irs.gov/efile](http://www.irs.gov/efile), click on Charities & Non-Profits, and click on e-file for Charities and Non-Profits.

**Automatic 6-Month Extension of Time.** Only submit original (no copies needed).

All corporations required to file an income tax return other than Form 990-T (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.

**Enter filer's identifying number, see instructions**

<b>Type or print</b>  File by the due date for filing your return. See instructions.	Name of exempt organization or other filer, see instructions.	Employer identification number (EIN) or
	AUDUBON CANYON RANCH, INC.	94-6069140
	Number, street, and room or suite number, if a P.O. box, see instructions.	Social security number (SSN)
	4900 HIGHWAY ONE	
	City, town or post office, state, and ZIP code. For a foreign address, see instructions.	
	STINSON BEACH, CA 94970	

Enter the Return Code for the return that this application is for (file a separate application for each return). . . . . **01**

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720 (other than individual)	09
Form 990-PF	04	Form 5227	10
Form 990-T (section 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

- The books are in the care of ▶ HUGH ROBERTSON

Telephone No. ▶ 415-868-9244

Fax No. ▶ \_\_\_\_\_

- If the organization does not have an office or place of business in the United States, check this box . . . . . ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) \_\_\_\_\_. If this is for the whole group, check this box. . . . . ☐. If it is for part of the group, check this box. . . . . ☐ and attach a list with the names and EINs of all members the extension is for.

- 1 I request an automatic 6-month extension of time until 5/15, 20 18, to file the exempt organization return for the organization named above. The extension is for the organization's return for:

▶ ☐ calendar year 20 \_\_\_\_ or▶ ☒ tax year beginning 7/01, 20 16, and ending 6/30, 20 17.

- 2 If the tax year entered in line 1 is for less than 12 months, check reason: ☐ Initial return ☐ Final return  
☐ Change in accounting period

<b>3a</b> If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions. . . . .	<b>3a</b>	\$	0.
<b>b</b> If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit. . . . .	<b>3b</b>	\$	0.
<b>c Balance due.</b> Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions. . . . .	<b>3c</b>	\$	0.

**Caution:** If you are going to make an electronic funds withdrawal (direct debit) with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

**BAA For Privacy Act and Paperwork Reduction Act Notice, see instructions.**Form **8868** (Rev. 1-2017)

**Part III Statement of Program Service Accomplishments**Check if Schedule O contains a response or note to any line in this Part III. ☐**1** Briefly describe the organization's mission:AUDUBON CANYON RANCH PROTECTS NATURE THROUGH LAND PRESERVATION, NATURE EDUCATION, AND CONSERVATION SCIENCE.**2** Did the organization undertake any significant program services during the year which were not listed on the prior Form 990 or 990-EZ? ☐ Yes ☒ No

If 'Yes,' describe these new services on Schedule O.

**3** Did the organization cease conducting, or make significant changes in how it conducts, any program services? ☐ Yes ☒ No

If 'Yes,' describe these changes on Schedule O.

**4** Describe the organization's program service accomplishments for each of its three largest program services, as measured by expenses. Section 501(c)(3) and 501(c)(4) organizations are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported.**4a** (Code: ) (Expenses \$ 916,399. including grants of \$ ) (Revenue \$ )THE ACR EDUCATION PROGRAM CONSISTS OF MANY INITIATIVES INCLUDING, THE SCHOOL PROGRAM WHICH IS NOW IN ITS 48TH YEAR - BOLSTERS SCIENCE AND NATURAL-HISTORY EDUCATION THROUGH PERSONAL CONTACT WITH THE LAND AND ITS DENIZENS. ACR BELIEVES INTERGENERATIONAL EXPERIENTIAL EDUCATION IS PARAMOUNT TO FOSTERING STEWARDSHIP IN THE YOUNG.**4b** (Code: ) (Expenses \$ 778,197. including grants of \$ ) (Revenue \$ )THE ACR STEWARDSHIP PROGRAM DEVELOPS SCIENCE-BASED APPROACHES TO TAKING CARE OF OUR LANDS AND THE HABITATS THEY ENCOMPASS. WE CONTROL INVASIVE PLANTS AND ANIMALS, MONITOR HABITAT CONDITIONS, TRACK RARE AND SENSITIVE SPECIES, AND IMPLEMENT RESTORATION PROJECTS USING BOTH OUR TRAINED STAFF AND OUR CADRE OF STEWARDSHIP VOLUNTEERS. IN ADDITION TO STEWARDING THE LANDS THAT ACR OWNS, ACR STEWARDSHIP TAKES A LANDSCAPE-SCALE APPROACH AND WORKS IN PARTNERSHIP WITH OTHER ORGANIZATIONS, LAND MANAGERS, AND PRIVATE LANDOWNERS ON REGIONAL STRATEGIES TO IMPROVE HABITAT CONNECTIVITY, INCREASE CLIMATE RESILIENCE, RESTORE NATIVE HABITATS, AND CONTROL INVASIVE SPECIES.**4c** (Code: ) (Expenses \$ 565,686. including grants of \$ ) (Revenue \$ )THE ACR CONSERVATION SCIENCE PROGRAM ACTIVITIES INCLUDED SEVERAL SCIENTIFIC INVESTIGATIONS ON HERONS & EGRETS, SHOREBIRDS, WATERBIRDS, AND LANDBIRDS. WE CONDUCTED AVIAN POINT-COUNT AND BREEDING BIRD SURVEYS AT ACR'S MODINI MAYACAMAS PRESERVES AND SURROUNDING AREAS OF THE CENTRAL MAYACAMAS MOUNTAINS, COMPLETED EIGHT BAYWIDE SHOREBIRD SURVEYS AND FOUR BAYWIDE WATERBIRDS SURVEYS ON TOMALES BAY, AND MONITORED THE NESTING PERFORMANCE OF HERONS AND EGRETS AT ALL KNOWN COLONY SITES IN THE NORTHERN SAN FRANCISCO BAY REGION (MARIN, SONOMA, NAPA, SOLANO, AND CONTRA COSTA COUNTIES). AFTER 27 CONSECUTIVE YEARS OF PUBLICATION, WE REDESIGNED AND EXPANDED ACR'S THE ARDEID, ACR'S ANNUAL PUBLICATION ON CONSERVATION SCIENCE PUBLICATION.**4d** Other program services (Describe in Schedule O.)

(Expenses \$ including grants of \$ ) (Revenue \$ )

**4e** Total program service expenses **2,260,282.**

**Part IV Checklist of Required Schedules**

	Yes	No
1 Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? If 'Yes,' complete Schedule A.	X	
2 Is the organization required to complete Schedule B, Schedule of Contributors (see instructions)?	X	
3 Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? If 'Yes,' complete Schedule C, Part I.		X
4 <b>Section 501(c)(3) organizations.</b> Did the organization engage in lobbying activities, or have a section 501(h) election in effect during the tax year? If 'Yes,' complete Schedule C, Part II.		X
5 Is the organization a section 501(c)(4), 501(c)(5), or 501(c)(6) organization that receives membership dues, assessments, or similar amounts as defined in Revenue Procedure 98-19? If 'Yes,' complete Schedule C, Part III.		X
6 Did the organization maintain any donor advised funds or any similar funds or accounts for which donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? If 'Yes,' complete Schedule D, Part I.		X
7 Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas, or historic structures? If 'Yes,' complete Schedule D, Part II.		X
8 Did the organization maintain collections of works of art, historical treasures, or other similar assets? If 'Yes,' complete Schedule D, Part III.		X
9 Did the organization report an amount in Part X, line 21, for escrow or custodial account liability, serve as a custodian for amounts not listed in Part X; or provide credit counseling, debt management, credit repair, or debt negotiation services? If 'Yes,' complete Schedule D, Part IV.		X
10 Did the organization, directly or through a related organization, hold assets in temporarily restricted endowments, permanent endowments, or quasi-endowments? If 'Yes,' complete Schedule D, Part V.	X	
11 If the organization's answer to any of the following questions is 'Yes,' then complete Schedule D, Parts VI, VII, VIII, IX, or X as applicable.		
a Did the organization report an amount for land, buildings, and equipment in Part X, line 10? If 'Yes,' complete Schedule D, Part VI.	X	
b Did the organization report an amount for investments — other securities in Part X, line 12 that is 5% or more of its total assets reported in Part X, line 16? If 'Yes,' complete Schedule D, Part VII.		X
c Did the organization report an amount for investments — program related in Part X, line 13 that is 5% or more of its total assets reported in Part X, line 16? If 'Yes,' complete Schedule D, Part VIII.		X
d Did the organization report an amount for other assets in Part X, line 15 that is 5% or more of its total assets reported in Part X, line 16? If 'Yes,' complete Schedule D, Part IX.		X
e Did the organization report an amount for other liabilities in Part X, line 25? If 'Yes,' complete Schedule D, Part X.		X
f Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses the organization's liability for uncertain tax positions under FIN 48 (ASC 740)? If 'Yes,' complete Schedule D, Part X.	X	
12a Did the organization obtain separate, independent audited financial statements for the tax year? If 'Yes,' complete Schedule D, Parts XI and XII.	X	
b Was the organization included in consolidated, independent audited financial statements for the tax year? If 'Yes,' and if the organization answered 'No' to line 12a, then completing Schedule D, Parts XI and XII is optional.		X
13 Is the organization a school described in section 170(b)(1)(A)(ii)? If 'Yes,' complete Schedule E.		X
14a Did the organization maintain an office, employees, or agents outside of the United States?		X
b Did the organization have aggregate revenues or expenses of more than \$10,000 from grantmaking, fundraising, business, investment, and program service activities outside the United States, or aggregate foreign investments valued at \$100,000 or more? If 'Yes,' complete Schedule F, Parts I and IV.		X
15 Did the organization report on Part IX, column (A), line 3, more than \$5,000 of grants or other assistance to or for any foreign organization? If 'Yes,' complete Schedule F, Parts II and IV.		X
16 Did the organization report on Part IX, column (A), line 3, more than \$5,000 of aggregate grants or other assistance to or for foreign individuals? If 'Yes,' complete Schedule F, Parts III and IV.		X
17 Did the organization report a total of more than \$15,000 of expenses for professional fundraising services on Part IX, column (A), lines 6 and 11e? If 'Yes,' complete Schedule G, Part I (see instructions).		X
18 Did the organization report more than \$15,000 total of fundraising event gross income and contributions on Part VIII, lines 1c and 8a? If 'Yes,' complete Schedule G, Part II.		X
19 Did the organization report more than \$15,000 of gross income from gaming activities on Part VIII, line 9a? If 'Yes,' complete Schedule G, Part III.		X

**Part IV Checklist of Required Schedules** (continued)

	Yes	No
<b>20a</b> Did the organization operate one or more hospital facilities? <i>If 'Yes,' complete Schedule H</i> .....		X
<b>b</b> If 'Yes' to line 20a, did the organization attach a copy of its audited financial statements to this return? .....		
<b>21</b> Did the organization report more than \$5,000 of grants or other assistance to any domestic organization or domestic government on Part IX, column (A), line 1? <i>If 'Yes,' complete Schedule I, Parts I and II</i> .....		X
<b>22</b> Did the organization report more than \$5,000 of grants or other assistance to or for domestic individuals on Part IX, column (A), line 2? <i>If 'Yes,' complete Schedule I, Parts I and III</i> .....		X
<b>23</b> Did the organization answer 'Yes' to Part VII, Section A, line 3, 4, or 5 about compensation of the organization's current and former officers, directors, trustees, key employees, and highest compensated employees? <i>If 'Yes,' complete Schedule J</i> .....	X	
<b>24a</b> Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than \$100,000 as of the last day of the year, that was issued after December 31, 2002? <i>If 'Yes,' answer lines 24b through 24d and complete Schedule K. If 'No,' go to line 25a</i> .....		X
<b>b</b> Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception? .....		
<b>c</b> Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds? .....		
<b>d</b> Did the organization act as an 'on behalf of' issuer for bonds outstanding at any time during the year? .....		
<b>25a</b> <b>Section 501(c)(3), 501(c)(4), and 501(c)(29) organizations.</b> Did the organization engage in an excess benefit transaction with a disqualified person during the year? <i>If 'Yes,' complete Schedule L, Part I</i> .....		X
<b>b</b> Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? <i>If 'Yes,' complete Schedule L, Part I</i> .....		X
<b>26</b> Did the organization report any amount on Part X, line 5, 6, or 22 for receivables from or payables to any current or former officers, directors, trustees, key employees, highest compensated employees, or disqualified persons? <i>If 'Yes,' complete Schedule L, Part II</i> .....		X
<b>27</b> Did the organization provide a grant or other assistance to an officer, director, trustee, key employee, substantial contributor or employee thereof, a grant selection committee member, or to a 35% controlled entity or family member of any of these persons? <i>If 'Yes,' complete Schedule L, Part III</i> .....		X
<b>28</b> Was the organization a party to a business transaction with one of the following parties (see Schedule L, Part IV instructions for applicable filing thresholds, conditions, and exceptions):		
<b>a</b> A current or former officer, director, trustee, or key employee? <i>If 'Yes,' complete Schedule L, Part IV</i> .....		X
<b>b</b> A family member of a current or former officer, director, trustee, or key employee? <i>If 'Yes,' complete Schedule L, Part IV</i> .....		X
<b>c</b> An entity of which a current or former officer, director, trustee, or key employee (or a family member thereof) was an officer, director, trustee, or direct or indirect owner? <i>If 'Yes,' complete Schedule L, Part IV</i> .....		X
<b>29</b> Did the organization receive more than \$25,000 in non-cash contributions? <i>If 'Yes,' complete Schedule M</i> .....		X
<b>30</b> Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? <i>If 'Yes,' complete Schedule M</i> .....		X
<b>31</b> Did the organization liquidate, terminate, or dissolve and cease operations? <i>If 'Yes,' complete Schedule N, Part I</i> .....		X
<b>32</b> Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? <i>If 'Yes,' complete Schedule N, Part II</i> .....		X
<b>33</b> Did the organization own 100% of an entity disregarded as separate from the organization under Regulations sections 301.7701-2 and 301.7701-3? <i>If 'Yes,' complete Schedule R, Part I</i> .....		X
<b>34</b> Was the organization related to any tax-exempt or taxable entity? <i>If 'Yes,' complete Schedule R, Part II, III, or IV, and Part V, line 1</i> .....		X
<b>35a</b> Did the organization have a controlled entity within the meaning of section 512(b)(13)? .....		X
<b>b</b> If 'Yes' to line 35a, did the organization receive any payment from or engage in any transaction with a controlled entity within the meaning of section 512(b)(13)? <i>If 'Yes,' complete Schedule R, Part V, line 2</i> .....		
<b>36</b> <b>Section 501(c)(3) organizations.</b> Did the organization make any transfers to an exempt non-charitable related organization? <i>If 'Yes,' complete Schedule R, Part V, line 2</i> .....		X
<b>37</b> Did the organization conduct more than 5% of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? <i>If 'Yes,' complete Schedule R, Part VI</i> .....		X
<b>38</b> Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11b and 19? <b>Note.</b> All Form 990 filers are required to complete Schedule O. ....	X	

BAA

Form 990 (2016)

**Part V** Statements Regarding Other IRS Filings and Tax ComplianceCheck if Schedule O contains a response or note to any line in this Part V. ☐

		Yes	No
1 a	Enter the number reported in Box 3 of Form 1096. Enter -0- if not applicable. ....	33	
1 b	Enter the number of Forms W-2G included in line 1a. Enter -0- if not applicable. ....	0	
1 c	Did the organization comply with backup withholding rules for reportable payments to vendors and reportable gaming (gambling) winnings to prize winners? .....	X	
2 a	Enter the number of employees reported on Form W-3, Transmittal of Wage and Tax Statements, filed for the calendar year ending with or within the year covered by this return ....	36	
2 b	If at least one is reported on line 2a, did the organization file all required federal employment tax returns? .....	X	
<b>Note.</b> If the sum of lines 1a and 2a is greater than 250, you may be required to e-file (see instructions)			
3 a	Did the organization have unrelated business gross income of \$1,000 or more during the year? .....		X
3 b	If 'Yes,' has it filed a Form 990-T for this year? If 'No' to line 3b, provide an explanation in Schedule O. ....		
4 a	At any time during the calendar year, did the organization have an interest in, or a signature or other authority over, a financial account in a foreign country (such as a bank account, securities account, or other financial account)? .....		X
4 b	If 'Yes,' enter the name of the foreign country: ▶ See instructions for filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR).		
5 a	Was the organization a party to a prohibited tax shelter transaction at any time during the tax year? .....		X
5 b	Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter transaction? .....		X
5 c	If 'Yes,' to line 5a or 5b, did the organization file Form 8886-T? .....		
6 a	Does the organization have annual gross receipts that are normally greater than \$100,000, and did the organization solicit any contributions that were not tax deductible as charitable contributions? .....		X
6 b	If 'Yes,' did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible? .....		
<b>7 Organizations that may receive deductible contributions under section 170(c).</b>			
7 a	Did the organization receive a payment in excess of \$75 made partly as a contribution and partly for goods and services provided to the payor? .....		X
7 b	If 'Yes,' did the organization notify the donor of the value of the goods or services provided? .....		
7 c	Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it was required to file Form 8282? .....		X
7 d	If 'Yes,' indicate the number of Forms 8282 filed during the year. ....		
7 e	Did the organization receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? .....		X
7 f	Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract? .....		X
7 g	If the organization received a contribution of qualified intellectual property, did the organization file Form 8899 as required? .....		
7 h	If the organization received a contribution of cars, boats, airplanes, or other vehicles, did the organization file a Form 1098-C? .....		
8	<b>Sponsoring organizations maintaining donor advised funds.</b> Did a donor advised fund maintained by the sponsoring organization have excess business holdings at any time during the year? .....		
9	<b>Sponsoring organizations maintaining donor advised funds.</b>		
9 a	Did the sponsoring organization make any taxable distributions under section 4966? .....		
9 b	Did the sponsoring organization make a distribution to a donor, donor advisor, or related person? .....		
10	<b>Section 501(c)(7) organizations.</b> Enter:		
10 a	Initiation fees and capital contributions included on Part VIII, line 12. ....		
10 b	Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities. ....		
11	<b>Section 501(c)(12) organizations.</b> Enter:		
11 a	Gross income from members or shareholders. ....		
11 b	Gross income from other sources (Do not net amounts due or paid to other sources against amounts due or received from them.) .....		
12 a	<b>Section 4947(a)(1) non-exempt charitable trusts.</b> Is the organization filing Form 990 in lieu of Form 1041? .....		
12 b	If 'Yes,' enter the amount of tax-exempt interest received or accrued during the year. ....		
13	<b>Section 501(c)(29) qualified nonprofit health insurance issuers.</b>		
13 a	Is the organization licensed to issue qualified health plans in more than one state? .....		
<b>Note.</b> See the instructions for additional information the organization must report on Schedule O.			
13 b	Enter the amount of reserves the organization is required to maintain by the states in which the organization is licensed to issue qualified health plans. ....		
13 c	Enter the amount of reserves on hand. ....		
14 a	Did the organization receive any payments for indoor tanning services during the tax year? .....		X
14 b	If 'Yes,' has it filed a Form 720 to report these payments? If 'No,' provide an explanation in Schedule O. ....		

**Part VI Governance, Management, and Disclosure** For each 'Yes' response to lines 2 through 7b below, and for a 'No' response to line 8a, 8b, or 10b below, describe the circumstances, processes, or changes in Schedule O. See instructions.Check if Schedule O contains a response or note to any line in this Part VI. ☒ X**Section A. Governing Body and Management**

	1 a	1 b	2	3	4	5	6	7 a	7 b	8 a	8 b	9
1 a Enter the number of voting members of the governing body at the end of the tax year. . . . . If there are material differences in voting rights among members of the governing body, or if the governing body delegated broad authority to an executive committee or similar committee, explain in Schedule O.	21											
b Enter the number of voting members included in line 1a, above, who are independent. . . . .		21										
2 Did any officer, director, trustee, or key employee have a family relationship or a business relationship with any other officer, director, trustee, or key employee? . . . . .												X
3 Did the organization delegate control over management duties customarily performed by or under the direct supervision of officers, directors, or trustees, or key employees to a management company or other person? . . . . .												X
4 Did the organization make any significant changes to its governing documents since the prior Form 990 was filed? . . . . .												X
5 Did the organization become aware during the year of a significant diversion of the organization's assets? . . . . .												X
6 Did the organization have members or stockholders? . . . . .												X
7 a Did the organization have members, stockholders, or other persons who had the power to elect or appoint one or more members of the governing body? . . . . .												X
b Are any governance decisions of the organization reserved to (or subject to approval by) members, stockholders, or persons other than the governing body? . . . . .												X
8 Did the organization contemporaneously document the meetings held or written actions undertaken during the year by the following:												
a The governing body? . . . . .										X		
b Each committee with authority to act on behalf of the governing body? . . . . .										X		
9 Is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be reached at the organization's mailing address? If 'Yes,' provide the names and addresses in Schedule O. . . . .												X

**Section B. Policies** (This Section B requests information about policies not required by the Internal Revenue Code.)

	10 a	10 b	11 a	11 b	12 a	12 b	12 c	13	14	15 a	15 b	16 a	16 b
10 a Did the organization have local chapters, branches, or affiliates? . . . . .													X
b If 'Yes,' did the organization have written policies and procedures governing the activities of such chapters, affiliates, and branches to ensure their operations are consistent with the organization's exempt purposes? . . . . .													
11 a Has the organization provided a complete copy of this Form 990 to all members of its governing body before filing the form? . . . . .			X										
b Describe in Schedule O the process, if any, used by the organization to review this Form 990. SEE SCHEDULE O													
12 a Did the organization have a written conflict of interest policy? If 'No,' go to line 13 . . . . .			X										
b Were officers, directors, or trustees, and key employees required to disclose annually interests that could give rise to conflicts? . . . . .			X										
c Did the organization regularly and consistently monitor and enforce compliance with the policy? If 'Yes,' describe in Schedule O how this was done. SEE SCHEDULE O . . . . .			X										
13 Did the organization have a written whistleblower policy? . . . . .			X										
14 Did the organization have a written document retention and destruction policy? . . . . .			X										
15 Did the process for determining compensation of the following persons include a review and approval by independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision?													
a The organization's CEO, Executive Director, or top management official . . . . .			X										
b Other officers or key employees of the organization. SEE SCHEDULE O . . . . .			X										
If 'Yes' to line 15a or 15b, describe the process in Schedule O (see instructions).													
16 a Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year? . . . . .													X
b If 'Yes,' did the organization follow a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable federal tax law, and take steps to safeguard the organization's exempt status with respect to such arrangements? . . . . .													

**Section C. Disclosure**

17 List the states with which a copy of this Form 990 is required to be filed ▶ CA

18 Section 6104 requires an organization to make its Forms 1023 (or 1024 if applicable), 990, and 990-T (Section 501(c)(3)s only) available for public inspection. Indicate how you made these available. Check all that apply.

☒ Own website ☐ Another's website ☒ Upon request ☐ Other (explain in Schedule O)

19 Describe in Schedule O whether (and if so, how) the organization made its governing documents, conflict of interest policy, and financial statements available to the public during the tax year. SEE SCHEDULE O

20 State the name, address, and telephone number of the person who possesses the organization's books and records: ▶

HUGH ROBERTSON 4900 HIGHWAY ONE STINSON BEACH CA 94970 415-868-9244

**Part VII Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors**Check if Schedule O contains a response or note to any line in this Part VII ☐**Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees****1 a** Complete this table for all persons required to be listed. Report compensation for the calendar year ending with or within the organization's tax year.

- List all of the organization's **current** officers, directors, trustees (whether individuals or organizations), regardless of amount of compensation. Enter -0- in columns (D), (E), and (F) if no compensation was paid.

- List all of the organization's **current** key employees, if any. See instructions for definition of 'key employee.'

- List the organization's five **current** highest compensated employees (other than an officer, director, trustee, or key employee) who received reportable compensation (Box 5 of Form W-2 and/or Box 7 of Form 1099-MISC) of more than \$100,000 from the organization and any related organizations.

- List all of the organization's **former** officers, key employees, and highest compensated employees who received more than \$100,000 of reportable compensation from the organization and any related organizations.

- List all of the organization's **former directors or trustees** that received, in the capacity as a former director or trustee of the organization, more than \$10,000 of reportable compensation from the organization and any related organizations.

List persons in the following order: individual trustees or directors; institutional trustees; officers; key employees; highest compensated employees; and former such persons.

☐ Check this box if neither the organization nor any related organization compensated any current officer, director, or trustee.

(A) Name and Title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)					(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee			
(1) PHILLIP CARLSEN DIRECTOR	0.5 0	X					0.	0.	0.
(2) BRYANT HICHA DIRECTOR	0.5 0	X					0.	0.	0.
(3) JOHN EPPERSON DIRECTOR	0.5 0	X					0.	0.	0.
(4) BILL RICHARDSON TREASURER	0.5 0	X		X			0.	0.	0.
(5) JUDE STALKER DIRECTOR	0.5 0	X					0.	0.	0.
(6) BARBARA WINTER DIRECTOR	0.5 0	X					0.	0.	0.
(7) JOAN TURNER DIRECTOR	0.5 0	X					0.	0.	0.
(8) JOE MUELLER DIRECTOR	0.5 0	X					0.	0.	0.
(9) ANDRE BREWSTER DIRECTOR	0.5 0	X					0.	0.	0.
(10) AMY BLACKSTONE DIRECTOR	0.5 0	X					0.	0.	0.
(11) DAN MURPHY DIRECTOR	0.5 0	X					0.	0.	0.
(12) NANCY LILLY DIRECTOR	0.5 0	X					0.	0.	0.
(13) DIANA RUIZ VICE PRESIDENT	0.5 0	X		X			0.	0.	0.
(14) JESSE GRANTHAM DIRECTOR	0.5 0	X					0.	0.	0.



**Part VII Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees** (continued)

(A) Name and title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)					(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Officer	Key employee	Highest compensated employee	Former			
(15) MARK TARPEY-SCHWED DIRECTOR	0.5 0	X					0.	0.	0.
(16) JUDY PROKUPEK PRESIDENT	0.5 0	X	X				0.	0.	0.
(17) BILL BRIDGES DIRECTOR	0.5 0	X					0.	0.	0.
(18) MICHAEL MAGATELLI DIRECTOR	0.5 0	X					0.	0.	0.
(19) ANNE MONTGOMERY SECRETARY	0.5 0	X	X				0.	0.	0.
(20) IVAN BOLENSKY DIRECTOR	0.5 0	X					0.	0.	0.
(21) LOWELL SYKES DIRECTOR	0.5 0	X					0.	0.	0.
(22) STEPHEN POZSGAI CONTROLLER	38 0		X				98,237.	0.	2,460.
(23) GARY SCHICK CONTROLLER	38 0		X				21,611.	0.	3,142.
(24) JOHN PETERSEN EXECUTIVE DIR.	38 0		X				131,670.	0.	36,233.
(25) HUGH ROBERTSON DIR. FIN. & OPS	38 0		X				111,718.	0.	9,252.
<b>1 b Sub-total</b>							363,236.	0.	51,087.
<b>c Total from continuation sheets to Part VII, Section A</b>							0.	0.	0.
<b>d Total (add lines 1b and 1c)</b>							363,236.	0.	51,087.

2 Total number of individuals (including but not limited to those listed above) who received more than \$100,000 of reportable compensation from the organization **2**

3 Did the organization list any **former** officer, director, or trustee, key employee, or highest compensated employee on line 1a? If 'Yes,' complete Schedule J for such individual

	Yes	No
3		X
4	X	
5		X

4 For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than \$150,000? If 'Yes,' complete Schedule J for such individual

5 Did any person listed on line 1a receive or accrue compensation from any unrelated organization or individual for services rendered to the organization? If 'Yes,' complete Schedule J for such person

**Section B. Independent Contractors**

1 Complete this table for your five highest compensated independent contractors that received more than \$100,000 of compensation from the organization. Report compensation for the calendar year ending with or within the organization's tax year.

(A) Name and business address	(B) Description of services	(C) Compensation

2 Total number of independent contractors (including but not limited to those listed above) who received more than \$100,000 of compensation from the organization **0**

**Part VIII** Statement of RevenueCheck if Schedule O contains a response or note to any line in this Part VIII. ☐

		(A) Total revenue	(B) Related or exempt function revenue	(C) Unrelated business revenue	(D) Revenue excluded from tax under sections 512-514
<b>Contributions, Gifts, Grants and Other Similar Amounts</b>	<b>1 a</b> Federated campaigns .....	<b>1 a</b>			
	<b>b</b> Membership dues .....	<b>1 b</b>			
	<b>c</b> Fundraising events .....	<b>1 c</b>			
	<b>d</b> Related organizations .....	<b>1 d</b>			
	<b>e</b> Government grants (contributions) .....	<b>1 e</b>			
	<b>f</b> All other contributions, gifts, grants, and similar amounts not included above. ....	<b>1 f</b> 1,626,530.			
	<b>g</b> Noncash contributions included in lines 1a-1f: \$	1,756.			
	<b>h Total.</b> Add lines 1a-1f. ....	1,626,530.			
<b>Program Service Revenue</b>	<b>2 a</b> Business Code				
	<b>b</b> .....				
	<b>c</b> .....				
	<b>d</b> .....				
	<b>e</b> .....				
	<b>f</b> All other program service revenue. ....				
	<b>g Total.</b> Add lines 2a-2f. ....				
	<b>Other Revenue</b>	<b>3</b> Investment income (including dividends, interest and other similar amounts) .....	742,279.		
<b>4</b> Income from investment of tax-exempt bond proceeds. ....					
<b>5</b> Royalties .....					
<b>6 a</b> Gross rents .....		(i) Real (ii) Personal			
<b>b</b> Less: rental expenses .....					
<b>c</b> Rental income or (loss). ....					
<b>d</b> Net rental income or (loss) .....					
<b>7 a</b> Gross amount from sales of assets other than inventory .....		(i) Securities (ii) Other			
<b>b</b> Less: cost or other basis and sales expenses. ....					
<b>c</b> Gain or (loss) .....					
<b>d</b> Net gain or (loss) .....		2,525,585. 2,525,585.			
<b>8 a</b> Gross income from fundraising events (not including \$ of contributions reported on line 1c). See Part IV, line 18. ....		<b>a</b>			
<b>b</b> Less: direct expenses .....		<b>b</b>			
<b>c</b> Net income or (loss) from fundraising events. ....					
<b>9 a</b> Gross income from gaming activities. See Part IV, line 19. ....		<b>a</b>			
<b>b</b> Less: direct expenses .....		<b>b</b>			
<b>c</b> Net income or (loss) from gaming activities. ....					
<b>10 a</b> Gross sales of inventory, less returns and allowances .....		<b>a</b> 9,162.			
<b>b</b> Less: cost of goods sold. ....	<b>b</b> 5,767.				
<b>c</b> Net income or (loss) from sales of inventory .....	3,395. 3,395.				
<b>Miscellaneous Revenue</b>		<b>Business Code</b>			
<b>11 a</b> MISCELLANEOUS	611710	3,844.	3,844.		
<b>b</b> .....					
<b>c</b> .....					
<b>d</b> All other revenue. ....					
<b>e Total.</b> Add lines 11a-11d. ....	3,844.				
<b>12 Total revenue.</b> See instructions .....	4,901,633. 2,532,824. 0. 742,279.				

**Part IX Statement of Functional Expenses**

Section 501(c)(3) and 501(c)(4) organizations must complete all columns. All other organizations must complete column (A).

Check if Schedule O contains a response or note to any line in this Part IX.

Do not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII.	(A) Total expenses	(B) Program service expenses	(C) Management and general expenses	(D) Fundraising expenses
1 Grants and other assistance to domestic organizations and domestic governments. See Part IV, line 21.				
2 Grants and other assistance to domestic individuals. See Part IV, line 22.				
3 Grants and other assistance to foreign organizations, foreign governments, and foreign individuals. See Part IV, lines 15 and 16.				
4 Benefits paid to or for members.				
5 Compensation of current officers, directors, trustees, and key employees.	372,845.	151,416.	190,104.	31,325.
6 Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B).	0.	0.	0.	0.
7 Other salaries and wages.	1,309,987.	1,038,592.	101,248.	170,147.
8 Pension plan accruals and contributions (include section 401(k) and 403(b) employer contributions).				
9 Other employee benefits.	246,729.	174,473.	42,717.	29,539.
10 Payroll taxes.	140,101.	99,072.	24,256.	16,773.
11 Fees for services (non-employees):				
a Management.	2,554.	2,554.		
b Legal.				
c Accounting.	16,175.		16,175.	
d Lobbying.				
e Professional fundraising services. See Part IV, line 17.				
f Investment management fees.	85,235.		85,235.	
g Other. (If line 11g amount exceeds 10% of line 25, column (A) amount, list line 11g expenses on Schedule O.)	6,482.	4,584.	1,122.	776.
12 Advertising and promotion.				
13 Office expenses.	59,510.	42,082.	10,303.	7,125.
14 Information technology.	97,876.	69,213.	16,945.	11,718.
15 Royalties.				
16 Occupancy.	243,282.	207,310.	24,969.	11,003.
17 Travel.	69,711.	67,986.	1,544.	181.
18 Payments of travel or entertainment expenses for any federal, state, or local public officials.				
19 Conferences, conventions, and meetings.				
20 Interest.				
21 Payments to affiliates.				
22 Depreciation, depletion, and amortization.	232,199.	164,199.	40,201.	27,799.
23 Insurance.	62,256.	44,024.	10,779.	7,453.
24 Other expenses. Itemize expenses not covered above (List miscellaneous expenses in line 24e. If line 24e amount exceeds 10% of line 25, column (A) amount, list line 24e expenses on Schedule O.)				
a RESEARCH AND SPECIAL PROJECTS	83,341.	83,341.		
b LIBRARY AND PUBLIC EDUCATION	76,515.	76,515.		
c COMMUNITY OUTREACH AND RECOG.	42,169.			42,169.
d OTHER	40,453.	19,216.	2,874.	18,363.
e All other expenses.	26,869.	15,705.		11,164.
25 Total functional expenses. Add lines 1 through 24e.	3,214,289.	2,260,282.	568,472.	385,535.
26 Joint costs. Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation. Check here <input type="checkbox"/> if following SOP 98-2 (ASC 958-720).				

**Part X Balance Sheet**Check if Schedule O contains a response or note to any line in this Part X ☐

		(A) Beginning of year		(B) End of year
<b>Assets</b>	1 Cash — non-interest-bearing .....	519,797.	1	482,688.
	2 Savings and temporary cash investments .....	607,152.	2	1,159,903.
	3 Pledges and grants receivable, net .....	20,302.	3	1,100.
	4 Accounts receivable, net .....	5,830.	4	5,320.
	5 Loans and other receivables from current and former officers, directors, trustees, key employees, and highest compensated employees. Complete Part II of Schedule L .....		5	
	6 Loans and other receivables from other disqualified persons (as defined under section 4958(f)(1)), persons described in section 4958(c)(3)(B), and contributing employers and sponsoring organizations of section 501(c)(9) voluntary employees' beneficiary organizations (see instructions). Complete Part II of Schedule L .....		6	
	7 Notes and loans receivable, net .....		7	
	8 Inventories for sale or use .....	21,811.	8	22,953.
	9 Prepaid expenses and deferred charges .....	56,677.	9	56,014.
	10a Land, buildings, and equipment: cost or other basis. Complete Part VI of Schedule D .....	10a 13,650,777.		
	b Less: accumulated depreciation .....	10b 3,238,505.	10c	10,412,272.
	11 Investments — publicly traded securities .....	10,847,398.	11	26,907,482.
	12 Investments — other securities. See Part IV, line 11 .....	25,704,693.	12	
	13 Investments — program-related. See Part IV, line 11 .....		13	
	14 Intangible assets .....		14	
	15 Other assets. See Part IV, line 11 .....	69,059.	15	81,310.
16 <b>Total assets.</b> Add lines 1 through 15 (must equal line 34) .....	37,852,719.	16	39,129,042.	
<b>Liabilities</b>	17 Accounts payable and accrued expenses .....	192,794.	17	176,694.
	18 Grants payable .....		18	
	19 Deferred revenue .....		19	
	20 Tax-exempt bond liabilities .....		20	
	21 Escrow or custodial account liability. Complete Part IV of Schedule D .....		21	
	22 Loans and other payables to current and former officers, directors, trustees, key employees, highest compensated employees, and disqualified persons. Complete Part II of Schedule L .....		22	
	23 Secured mortgages and notes payable to unrelated third parties .....		23	
	24 Unsecured notes and loans payable to unrelated third parties .....		24	
	25 Other liabilities (including federal income tax, payables to related third parties, and other liabilities not included on lines 17-24). Complete Part X of Schedule D .....		25	
	26 <b>Total liabilities.</b> Add lines 17 through 25 .....	192,794.	26	176,694.
<b>Net Assets or Fund Balances</b>	<b>Organizations that follow SFAS 117 (ASC 958), check here <input checked="" type="checkbox"/> and complete lines 27 through 29, and lines 33 and 34.</b>			
	27 Unrestricted net assets .....	12,031,267.	27	11,570,916.
	28 Temporarily restricted net assets .....	6,673,147.	28	8,326,597.
	29 Permanently restricted net assets .....	18,955,511.	29	19,054,835.
	<b>Organizations that do not follow SFAS 117 (ASC 958), check here <input type="checkbox"/> and complete lines 30 through 34.</b>			
	30 Capital stock or trust principal, or current funds .....		30	
	31 Paid-in or capital surplus, or land, building, or equipment fund .....		31	
	32 Retained earnings, endowment, accumulated income, or other funds .....		32	
	33 <b>Total net assets or fund balances</b> .....	37,659,925.	33	38,952,348.
	34 <b>Total liabilities and net assets/fund balances</b> .....	37,852,719.	34	39,129,042.

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Form 990 (2016)

**Part XI Reconciliation of Net Assets**Check if Schedule O contains a response or note to any line in this Part XI. ☐

1	Total revenue (must equal Part VIII, column (A), line 12)	1	4,901,633.
2	Total expenses (must equal Part IX, column (A), line 25)	2	3,214,289.
3	Revenue less expenses. Subtract line 2 from line 1	3	1,687,344.
4	Net assets or fund balances at beginning of year (must equal Part X, line 33, column (A))	4	37,659,925.
5	Net unrealized gains (losses) on investments	5	
6	Donated services and use of facilities	6	-394,921.
7	Investment expenses	7	
8	Prior period adjustments	8	
9	Other changes in net assets or fund balances (explain in Schedule O)	9	0.
10	Net assets or fund balances at end of year. Combine lines 3 through 9 (must equal Part X, line 33, column (B))	10	38,952,348.

**Part XII Financial Statements and Reporting**Check if Schedule O contains a response or note to any line in this Part XII. ☐

	Yes	No
1 Accounting method used to prepare the Form 990: <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other _____ If the organization changed its method of accounting from a prior year or checked 'Other,' explain in Schedule O.		
2a Were the organization's financial statements compiled or reviewed by an independent accountant? _____ If 'Yes,' check a box below to indicate whether the financial statements for the year were compiled or reviewed on a separate basis, consolidated basis, or both: <input type="checkbox"/> Separate basis <input type="checkbox"/> Consolidated basis <input type="checkbox"/> Both consolidated and separate basis		X
b Were the organization's financial statements audited by an independent accountant? _____ If 'Yes,' check a box below to indicate whether the financial statements for the year were audited on a separate basis, consolidated basis, or both: <input checked="" type="checkbox"/> Separate basis <input type="checkbox"/> Consolidated basis <input type="checkbox"/> Both consolidated and separate basis	X	
c If 'Yes' to line 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant? _____ If the organization changed either its oversight process or selection process during the tax year, explain in Schedule O.	X	
3a As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133? _____		X
b If 'Yes,' did the organization undergo the required audit or audits? If the organization did not undergo the required audit or audits, explain why in Schedule O and describe any steps taken to undergo such audits _____		

BAA

Form 990 (2016)

**SCHEDULE A**  
**(Form 990 or 990-EZ)**

Department of the Treasury  
Internal Revenue Service

**Public Charity Status and Public Support**

Complete if the organization is a section 501(c)(3) organization or a section 4947(a)(1) nonexempt charitable trust.

▶ Attach to Form 990 or Form 990-EZ.

▶ Information about Schedule A (Form 990 or 990-EZ) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

OMB No. 1545-0047

**2016**

**Open to Public Inspection**

Name of the organization

AUDUBON CANYON RANCH, INC.

Employer identification number

94-6069140

**Part I Reason for Public Charity Status** (All organizations must complete this part.) See instructions.

The organization is not a private foundation because it is: (For lines 1 through 12, check only one box.)

- 1 ☐ A church, convention of churches, or association of churches described in **section 170(b)(1)(A)(i)**.
- 2 ☐ A school described in **section 170(b)(1)(A)(ii)**. (Attach Schedule E (Form 990 or 990-EZ).)
- 3 ☐ A hospital or a cooperative hospital service organization described in **section 170(b)(1)(A)(iii)**.
- 4 ☐ A medical research organization operated in conjunction with a hospital described in **section 170(b)(1)(A)(iii)**. Enter the hospital's name, city, and state: \_\_\_\_\_
- 5 ☐ An organization operated for the benefit of a college or university owned or operated by a governmental unit described in **section 170(b)(1)(A)(iv)**. (Complete Part II.)
- 6 ☐ A federal, state, or local government or governmental unit described in **section 170(b)(1)(A)(v)**.
- 7 ☒ An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in **section 170(b)(1)(A)(vi)**. (Complete Part II.)
- 8 ☐ A community trust described in **section 170(b)(1)(A)(vi)**. (Complete Part II.)
- 9 ☐ An agricultural research organization described in **section 170(b)(1)(A)(ix)** operated in conjunction with a land-grant college or university or a non-land-grant college of agriculture (see instructions). Enter the name, city, and state of the college or university: \_\_\_\_\_
- 10 ☐ An organization that normally receives: (1) more than 33-1/3% of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions—subject to certain exceptions, and (2) no more than 33-1/3% of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975. See **section 509(a)(2)**. (Complete Part III.)
- 11 ☐ An organization organized and operated exclusively to test for public safety. See **section 509(a)(4)**.
- 12 ☐ An organization organized and operated exclusively for the benefit of, to perform the functions of, or to carry out the purposes of one or more publicly supported organizations described in **section 509(a)(1)** or **section 509(a)(2)**. See **section 509(a)(3)**. Check the box in lines 12a through 12d that describes the type of supporting organization and complete lines 12e, 12f, and 12g.
  - a ☐ **Type I.** A supporting organization operated, supervised, or controlled by its supported organization(s), typically by giving the supported organization(s) the power to regularly appoint or elect a majority of the directors or trustees of the supporting organization. **You must complete Part IV, Sections A and B.**
  - b ☐ **Type II.** A supporting organization supervised or controlled in connection with its supported organization(s), by having control or management of the supporting organization vested in the same persons that control or manage the supported organization(s). **You must complete Part IV, Sections A and C.**
  - c ☐ **Type III functionally integrated.** A supporting organization operated in connection with, and functionally integrated with, its supported organization(s) (see instructions). **You must complete Part IV, Sections A, D, and E.**
  - d ☐ **Type III non-functionally integrated.** A supporting organization operated in connection with its supported organization(s) that is not functionally integrated. The organization generally must satisfy a distribution requirement and an attentiveness requirement (see instructions). **You must complete Part IV, Sections A and D, and Part V.**
  - e ☐ Check this box if the organization received a written determination from the IRS that it is a Type I, Type II, Type III functionally integrated, or Type III non-functionally integrated supporting organization.
  - f Enter the number of supported organizations: \_\_\_\_\_
  - g Provide the following information about the supported organization(s).

(i) Name of supported organization	(ii) EIN	(iii) Type of organization (described on lines 1-10 above (see instructions))	(iv) Is the organization listed in your governing document?		(v) Amount of monetary support (see instructions)	(vi) Amount of other support (see instructions)
			Yes	No		
(A)						
(B)						
(C)						
(D)						
(E)						
<b>Total</b>						

**Part II Support Schedule for Organizations Described in Sections 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)**

(Complete only if you checked the box on line 5, 7, or 8 of Part I or if the organization failed to qualify under Part III. If the organization fails to qualify under the tests listed below, please complete Part III.)

**Section A. Public Support**

Calendar year (or fiscal year beginning in) ▶	(a) 2012	(b) 2013	(c) 2014	(d) 2015	(e) 2016	(f) Total
<b>1</b> Gifts, grants, contributions, and membership fees received. (Do not include any 'unusual grants'.) .....	1,873,153.	1,204,176.	693,878.	1,145,966.	1,110,362.	6,027,535.
<b>2</b> Tax revenues levied for the organization's benefit and either paid to or expended on its behalf .....						0.
<b>3</b> The value of services or facilities furnished by a governmental unit to the organization without charge. ....						0.
<b>4 Total.</b> Add lines 1 through 3 ...	1,873,153.	1,204,176.	693,878.	1,145,966.	1,110,362.	6,027,535.
<b>5</b> The portion of total contributions by each person (other than a governmental unit or publicly supported organization) included on line 1 that exceeds 2% of the amount shown on line 11, column (f). ...						0.
<b>6 Public support.</b> Subtract line 5 from line 4. ....						6,027,535.

**Section B. Total Support**

Calendar year (or fiscal year beginning in) ▶	(a) 2012	(b) 2013	(c) 2014	(d) 2015	(e) 2016	(f) Total
<b>7</b> Amounts from line 4. ....	1,873,153.	1,204,176.	693,878.	1,145,966.	1,110,362.	6,027,535.
<b>8</b> Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources. ....	516,265.	737,823.	846,752.	925,046.	742,279.	3,768,165.
<b>9</b> Net income from unrelated business activities, whether or not the business is regularly carried on. ....						0.
<b>10</b> Other income. Do not include gain or loss from the sale of capital assets. (Explain in Part VI.) SEE PART VI. ....	15,596.	27,105.	17,081.	6,052.	3,844.	69,678.
<b>11 Total support.</b> Add lines 7 through 10. ....						9,865,378.
<b>12</b> Gross receipts from related activities, etc. (see instructions) .....					12	75,300.
<b>13 First five years.</b> If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and <b>stop here</b> .....						<input type="checkbox"/>

**Section C. Computation of Public Support Percentage**

<b>14</b> Public support percentage for 2016 (line 6, column (f) divided by line 11, column (f)). ....	<b>14</b>	61.10 %
<b>15</b> Public support percentage from 2015 Schedule A, Part II, line 14. ....	<b>15</b>	67.15 %
<b>16a 33-1/3% support test—2016.</b> If the organization did not check the box on line 13, and line 14 is 33-1/3% or more, check this box and <b>stop here.</b> The organization qualifies as a publicly supported organization. ....		<input checked="" type="checkbox"/>
<b>b 33-1/3% support test—2015.</b> If the organization did not check a box on line 13 or 16a, and line 15 is 33-1/3% or more, check this box and <b>stop here.</b> The organization qualifies as a publicly supported organization. ....		<input type="checkbox"/>
<b>17a 10%-facts-and-circumstances test—2016.</b> If the organization did not check a box on line 13, 16a, or 16b, and line 14 is 10% or more, and if the organization meets the 'facts-and-circumstances' test, check this box and <b>stop here.</b> Explain in Part VI how the organization meets the 'facts-and-circumstances' test. The organization qualifies as a publicly supported organization. ....		<input type="checkbox"/>
<b>b 10%-facts-and-circumstances test—2015.</b> If the organization did not check a box on line 13, 16a, 16b, or 17a, and line 15 is 10% or more, and if the organization meets the 'facts-and-circumstances' test, check this box and <b>stop here.</b> Explain in Part VI how the organization meets the 'facts-and-circumstances' test. The organization qualifies as a publicly supported organization. ....		<input type="checkbox"/>
<b>18 Private foundation.</b> If the organization did not check a box on line 13, 16a, 16b, 17a, or 17b, check this box and see instructions. ....		<input type="checkbox"/>



**Part III Support Schedule for Organizations Described in Section 509(a)(2)**

(Complete only if you checked the box on line 10 of Part I or if the organization failed to qualify under Part II. If the organization fails to qualify under the tests listed below, please complete Part II.)

**Section A. Public Support**

Calendar year (or fiscal year beginning in) ▶	(a) 2012	(b) 2013	(c) 2014	(d) 2015	(e) 2016	(f) Total
1 Gifts, grants, contributions, and membership fees received. (Do not include any 'unusual grants'.)						
2 Gross receipts from admissions, merchandise sold or services performed, or facilities furnished in any activity that is related to the organization's tax-exempt purpose.						
3 Gross receipts from activities that are not an unrelated trade or business under section 513.						
4 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf.						
5 The value of services or facilities furnished by a governmental unit to the organization without charge.						
6 <b>Total.</b> Add lines 1 through 5.						
7a Amounts included on lines 1, 2, and 3 received from disqualified persons.						
b Amounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of \$5,000 or 1% of the amount on line 13 for the year.						
c Add lines 7a and 7b.						
8 <b>Public support.</b> (Subtract line 7c from line 6.)						

**Section B. Total Support**

Calendar year (or fiscal year beginning in) ▶	(a) 2012	(b) 2013	(c) 2014	(d) 2015	(e) 2016	(f) Total
9 Amounts from line 6.						
10a Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources.						
b Unrelated business taxable income (less section 511 taxes) from businesses acquired after June 30, 1975.						
c Add lines 10a and 10b.						
11 Net income from unrelated business activities not included in line 10b, whether or not the business is regularly carried on.						
12 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part VI.)						
13 <b>Total support.</b> (Add lines 9, 10c, 11, and 12.)						
14 <b>First five years.</b> If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and <b>stop here</b> ▶ <input type="checkbox"/>						

**Section C. Computation of Public Support Percentage**

15 Public support percentage for 2016 (line 8, column (f) divided by line 13, column (f)).	15	%
16 Public support percentage from 2015 Schedule A, Part III, line 15.	16	%

**Section D. Computation of Investment Income Percentage**

17 Investment income percentage for 2016 (line 10c, column (f) divided by line 13, column (f)).	17	%
18 Investment income percentage from 2015 Schedule A, Part III, line 17.	18	%
19a <b>33-1/3% support tests—2016.</b> If the organization did not check the box on line 14, and line 15 is more than 33-1/3%, and line 17 is not more than 33-1/3%, check this box and <b>stop here.</b> The organization qualifies as a publicly supported organization. ▶ <input type="checkbox"/>		
b <b>33-1/3% support tests—2015.</b> If the organization did not check a box on line 14 or line 19a, and line 16 is more than 33-1/3%, and line 18 is not more than 33-1/3%, check this box and <b>stop here.</b> The organization qualifies as a publicly supported organization. ▶ <input type="checkbox"/>		
20 <b>Private foundation.</b> If the organization did not check a box on line 14, 19a, or 19b, check this box and see instructions. ▶ <input type="checkbox"/>		



**Part IV Supporting Organizations**

(Complete only if you checked a box in line 12 on Part I. If you checked 12a of Part I, complete Sections A and B. If you checked 12b of Part I, complete Sections A and C. If you checked 12c of Part I, complete Sections A, D, and E. If you checked 12d of Part I, complete Sections A and D, and complete Part V.)

**Section A. All Supporting Organizations**

	Yes	No
1 Are all of the organization's supported organizations listed by name in the organization's governing documents? If 'No,' describe in <b>Part VI</b> how the supported organizations are designated. If designated by class or purpose, describe the designation. If historic and continuing relationship, explain.		
2 Did the organization have any supported organization that does not have an IRS determination of status under section 509(a)(1) or (2)? If 'Yes,' explain in <b>Part VI</b> how the organization determined that the supported organization was described in section 509(a)(1) or (2).		
3a Did the organization have a supported organization described in section 501(c)(4), (5), or (6)? If 'Yes,' answer (b) and (c) below.		
b Did the organization confirm that each supported organization qualified under section 501(c)(4), (5), or (6) and satisfied the public support tests under section 509(a)(2)? If 'Yes,' describe in <b>Part VI</b> when and how the organization made the determination.		
c Did the organization ensure that all support to such organizations was used exclusively for section 170(c)(2)(B) purposes? If 'Yes,' explain in <b>Part VI</b> what controls the organization put in place to ensure such use.		
4a Was any supported organization not organized in the United States ('foreign supported organization')? If 'Yes' and if you checked 12a or 12b in Part I, answer (b) and (c) below.		
b Did the organization have ultimate control and discretion in deciding whether to make grants to the foreign supported organization? If 'Yes,' describe in <b>Part VI</b> how the organization had such control and discretion despite being controlled or supervised by or in connection with its supported organizations.		
c Did the organization support any foreign supported organization that does not have an IRS determination under sections 501(c)(3) and 509(a)(1) or (2)? If 'Yes,' explain in <b>Part VI</b> what controls the organization used to ensure that all support to the foreign supported organization was used exclusively for section 170(c)(2)(B) purposes.		
5a Did the organization add, substitute, or remove any supported organizations during the tax year? If 'Yes,' answer (b) and (c) below (if applicable). Also, provide detail in <b>Part VI</b> , including (i) the names and EIN numbers of the supported organizations added, substituted, or removed; (ii) the reasons for each such action; (iii) the authority under the organization's organizing document authorizing such action; and (iv) how the action was accomplished (such as by amendment to the organizing document).		
b <b>Type I or Type II only.</b> Was any added or substituted supported organization part of a class already designated in the organization's organizing document?		
c <b>Substitutions only.</b> Was the substitution the result of an event beyond the organization's control?		
6 Did the organization provide support (whether in the form of grants or the provision of services or facilities) to anyone other than (i) its supported organizations, (ii) individuals that are part of the charitable class benefited by one or more of its supported organizations, or (iii) other supporting organizations that also support or benefit one or more of the filing organization's supported organizations? If 'Yes,' provide detail in <b>Part VI</b> .		
7 Did the organization provide a grant, loan, compensation, or other similar payment to a substantial contributor (defined in section 4958(c)(3)(C)), a family member of a substantial contributor, or a 35% controlled entity with regard to a substantial contributor? If 'Yes,' complete Part I of Schedule L (Form 990 or 990-EZ).		
8 Did the organization make a loan to a disqualified person (as defined in section 4958) not described in line 7? If 'Yes,' complete Part I of Schedule L (Form 990 or 990-EZ).		
9a Was the organization controlled directly or indirectly at any time during the tax year by one or more disqualified persons as defined in section 4946 (other than foundation managers and organizations described in section 509(a)(1) or (2))? If 'Yes,' provide detail in <b>Part VI</b> .		
b Did one or more disqualified persons (as defined in line 9a) hold a controlling interest in any entity in which the supporting organization had an interest? If 'Yes,' provide detail in <b>Part VI</b> .		
c Did a disqualified person (as defined in line 9a) have an ownership interest in, or derive any personal benefit from, assets in which the supporting organization also had an interest? If 'Yes,' provide detail in <b>Part VI</b> .		
10a Was the organization subject to the excess business holdings rules of section 4943 because of section 4943(f) (regarding certain Type II supporting organizations, and all Type III non-functionally integrated supporting organizations)? If 'Yes,' answer 10b below.		
b Did the organization have any excess business holdings in the tax year? (Use Schedule C, Form 4720, to determine whether the organization had excess business holdings.)		

**Part IV Supporting Organizations** (continued)

- 11 Has the organization accepted a gift or contribution from any of the following persons?
- a A person who directly or indirectly controls, either alone or together with persons described in (b) and (c) below, the governing body of a supported organization?
- b A family member of a person described in (a) above?
- c A 35% controlled entity of a person described in (a) or (b) above? If 'Yes' to a, b, or c, provide detail in **Part VI**.

	Yes	No
11a		
11b		
11c		

**Section B. Type I Supporting Organizations**

- 1 Did the directors, trustees, or membership of one or more supported organizations have the power to regularly appoint or elect at least a majority of the organization's directors or trustees at all times during the tax year? If 'No,' describe in **Part VI** how the supported organization(s) effectively operated, supervised, or controlled the organization's activities. If the organization had more than one supported organization, describe how the powers to appoint and/or remove directors or trustees were allocated among the supported organizations and what conditions or restrictions, if any, applied to such powers during the tax year.
- 2 Did the organization operate for the benefit of any supported organization other than the supported organization(s) that operated, supervised, or controlled the supporting organization? If 'Yes,' explain in **Part VI** how providing such benefit carried out the purposes of the supported organization(s) that operated, supervised, or controlled the supporting organization.

	Yes	No
1		
2		

**Section C. Type II Supporting Organizations**

- 1 Were a majority of the organization's directors or trustees during the tax year also a majority of the directors or trustees of each of the organization's supported organization(s)? If 'No,' describe in **Part VI** how control or management of the supporting organization was vested in the same persons that controlled or managed the supported organization(s).

	Yes	No
1		

**Section D. All Type III Supporting Organizations**

- 1 Did the organization provide to each of its supported organizations, by the last day of the fifth month of the organization's tax year, (i) a written notice describing the type and amount of support provided during the prior tax year, (ii) a copy of the Form 990 that was most recently filed as of the date of notification, and (iii) copies of the organization's governing documents in effect on the date of notification, to the extent not previously provided?
- 2 Were any of the organization's officers, directors, or trustees either (i) appointed or elected by the supported organization(s) or (ii) serving on the governing body of a supported organization? If 'No,' explain in **Part VI** how the organization maintained a close and continuous working relationship with the supported organization(s).
- 3 By reason of the relationship described in (2), did the organization's supported organizations have a significant voice in the organization's investment policies and in directing the use of the organization's income or assets at all times during the tax year? If 'Yes,' describe in **Part VI** the role the organization's supported organizations played in this regard.

	Yes	No
1		
2		
3		

**Section E. Type III Functionally Integrated Supporting Organizations**

- 1 Check the box next to the method that the organization used to satisfy the Integral Part Test during the year (see instructions).
- a ☐ The organization satisfied the Activities Test. Complete **line 2** below.
- b ☐ The organization is the parent of each of its supported organizations. Complete **line 3** below.
- c ☐ The organization supported a governmental entity. Describe in **Part VI** how you supported a government entity (see instructions).

**2 Activities Test. Answer (a) and (b) below.**

- a Did substantially all of the organization's activities during the tax year directly further the exempt purposes of the supported organization(s) to which the organization was responsive? If 'Yes,' then in **Part VI** identify those supported organizations and explain how these activities directly furthered their exempt purposes, how the organization was responsive to those supported organizations, and how the organization determined that these activities constituted substantially all of its activities.
- b Did the activities described in (a) constitute activities that, but for the organization's involvement, one or more of the organization's supported organization(s) would have been engaged in? If 'Yes,' explain in **Part VI** the reasons for the organization's position that its supported organization(s) would have engaged in these activities but for the organization's involvement.

	Yes	No
2a		
2b		
3a		
3b		

**3 Parent of Supported Organizations. Answer (a) and (b) below.**

- a Did the organization have the power to regularly appoint or elect a majority of the officers, directors, or trustees of each of the supported organizations? Provide details in **Part VI**.
- b Did the organization exercise a substantial degree of direction over the policies, programs, and activities of each of its supported organizations? If 'Yes,' describe in **Part VI** the role played by the organization in this regard.

**Part V Type III Non-Functionally Integrated 509(a)(3) Supporting Organizations**

- 1 ☐ Check here if the organization satisfied the Integral Part Test as a qualifying trust on Nov. 20, 1970 (explain in Part VI). **See instructions.** All other Type III non-functionally integrated supporting organizations must complete Sections A through E.

Section A – Adjusted Net Income		(A) Prior Year	(B) Current Year (optional)
1	Net short-term capital gain	1	
2	Recoveries of prior-year distributions	2	
3	Other gross income (see instructions)	3	
4	Add lines 1 through 3.	4	
5	Depreciation and depletion	5	
6	Portion of operating expenses paid or incurred for production or collection of gross income or for management, conservation, or maintenance of property held for production of income (see instructions)	6	
7	Other expenses (see instructions)	7	
8	<b>Adjusted Net Income</b> (subtract lines 5, 6, and 7 from line 4).	8	

Section B – Minimum Asset Amount		(A) Prior Year	(B) Current Year (optional)
1	Aggregate fair market value of all non-exempt-use assets (see instructions for short tax year or assets held for part of year):		
a	Average monthly value of securities	1a	
b	Average monthly cash balances	1b	
c	Fair market value of other non-exempt-use assets	1c	
d	<b>Total</b> (add lines 1a, 1b, and 1c)	1d	
e	<b>Discount</b> claimed for blockage or other factors (explain in detail in <b>Part VI</b> ):		
2	Acquisition indebtedness applicable to non-exempt-use assets	2	
3	Subtract line 2 from line 1d.	3	
4	Cash deemed held for exempt use. Enter 1-1/2% of line 3 (for greater amount, see instructions).	4	
5	Net value of non-exempt-use assets (subtract line 4 from line 3)	5	
6	Multiply line 5 by .035.	6	
7	Recoveries of prior-year distributions	7	
8	<b>Minimum Asset Amount</b> (add line 7 to line 6)	8	

Section C – Distributable Amount			Current Year
1	Adjusted net income for prior year (from Section A, line 8, Column A)	1	
2	Enter 85% of line 1.	2	
3	Minimum asset amount for prior year (from Section B, line 8, Column A)	3	
4	Enter greater of line 2 or line 3.	4	
5	Income tax imposed in prior year	5	
6	<b>Distributable Amount.</b> Subtract line 5 from line 4, unless subject to emergency temporary reduction (see instructions).	6	
7	<input type="checkbox"/> Check here if the current year is the organization's first as a non-functionally integrated Type III supporting organization (see instructions).		

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Schedule A (Form 990 or 990-EZ) 2016

**Part V Type III Non-Functionally Integrated 509(a)(3) Supporting Organizations** (continued)

Section D – Distributions	Current Year
1 Amounts paid to supported organizations to accomplish exempt purposes	
2 Amounts paid to perform activity that directly furthers exempt purposes of supported organizations, in excess of income from activity	
3 Administrative expenses paid to accomplish exempt purposes of supported organizations	
4 Amounts paid to acquire exempt-use assets	
5 Qualified set-aside amounts (prior IRS approval required)	
6 Other distributions (describe in Part VI). See instructions.	
7 <b>Total annual distributions.</b> Add lines 1 through 6.	
8 Distributions to attentive supported organizations to which the organization is responsive (provide details in Part VI). See instructions.	
9 Distributable amount for 2016 from Section C, line 6	
10 Line 8 amount divided by Line 9 amount	

Section E – Distribution Allocations (see instructions)	(i) Excess Distributions	(ii) Underdistributions Pre-2016	(iii) Distributable Amount for 2016
1 Distributable amount for 2016 from Section C, line 6			
2 Underdistributions, if any, for years prior to 2016 (reasonable cause required – explain in Part VI). See instructions.			
3 Excess distributions carryover, if any, to 2016:			
a			
b			
c From 2013. ....			
d From 2014. ....			
e From 2015. ....			
f <b>Total</b> of lines 3a through e			
g Applied to underdistributions of prior years			
h Applied to 2016 distributable amount			
i Carryover from 2011 not applied (see instructions)			
j Remainder. Subtract lines 3g, 3h, and 3i from 3f.			
4 Distributions for 2016 from Section D, line 7: \$			
a Applied to underdistributions of prior years			
b Applied to 2016 distributable amount			
c Remainder. Subtract lines 4a and 4b from 4.			
5 Remaining underdistributions for years prior to 2016, if any. Subtract lines 3g and 4a from line 2. For result greater than zero, explain in Part VI. See instructions.			
6 Remaining underdistributions for 2016. Subtract lines 3h and 4b from line 1. For result greater than zero, explain in Part VI. See instructions.			
7 <b>Excess distributions carryover to 2017.</b> Add lines 3j and 4c.			
8 Breakdown of line 7:			
a			
b Excess from 2013. ....			
c Excess from 2014. ....			
d Excess from 2015. ....			
e Excess from 2016. ....			

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Schedule A (Form 990 or 990-EZ) 2016

**Part VI** **Supplemental Information.** Provide the explanations required by Part II, line 10; Part II, line 17a or 17b; Part III, line 12; Part IV, Section A, lines 1, 2, 3b, 3c, 4b, 4c, 5a, 6, 9a, 9b, 9c, 11a, 11b, and 11c; Part IV, Section B, lines 1 and 2; Part IV, Section C, line 1; Part IV, Section D, lines 2 and 3; Part IV, Section E, lines 1c, 2a, 2b, 3a, and 3b; Part V, line 1; Part V, Section B, line 1e; Part V, Section D, lines 5, 6, and 8; and Part V, Section E, lines 2, 5, and 6. Also complete this part for any additional information.  
(See instructions.)

**PART II, LINE 10 - OTHER INCOME**

NATURE AND SOURCE	2016	2015	2014	2013	2012
MISCELLANEOUS	\$ 3,844.	\$ 6,052.	\$ 17,081.	\$ 27,105.	\$ 15,596.
TOTAL	<u>\$ 3,844.</u>	<u>\$ 6,052.</u>	<u>\$ 17,081.</u>	<u>\$ 27,105.</u>	<u>\$ 15,596.</u>

**SCHEDULE D  
(Form 990)**Department of the Treasury  
Internal Revenue Service

Name of the organization

**Supplemental Financial Statements**

► Complete if the organization answered 'Yes' on Form 990,  
Part IV, line 6, 7, 8, 9, 10, 11a, 11b, 11c, 11d, 11e, 11f, 12a, or 12b.  
► Attach to Form 990.

► Information about Schedule D (Form 990) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

OMB No. 1545-0047

**2016****Open to Public  
Inspection**

Employer identification number

AUDUBON CANYON RANCH, INC.

94-6069140

**Part I Organizations Maintaining Donor Advised Funds or Other Similar Funds or Accounts.**

Complete if the organization answered 'Yes' on Form 990, Part IV, line 6.

	(a) Donor advised funds	(b) Funds and other accounts
1 Total number at end of year .....		
2 Aggregate value of contributions to (during year) .....		
3 Aggregate value of grants from (during year) .....		
4 Aggregate value at end of year .....		
5 Did the organization inform all donors and donor advisors in writing that the assets held in donor advised funds are the organization's property, subject to the organization's exclusive legal control? .....	<input type="checkbox"/> Yes	<input type="checkbox"/> No
6 Did the organization inform all grantees, donors, and donor advisors in writing that grant funds can be used only for charitable purposes and not for the benefit of the donor or donor advisor, or for any other purpose conferring impermissible private benefit? .....	<input type="checkbox"/> Yes	<input type="checkbox"/> No

**Part II Conservation Easements.**

Complete if the organization answered 'Yes' on Form 990, Part IV, line 7.

1 Purpose(s) of conservation easements held by the organization (check all that apply).

<input type="checkbox"/> Preservation of land for public use (e.g., recreation or education)	<input type="checkbox"/> Preservation of a historically important land area
<input type="checkbox"/> Protection of natural habitat	<input type="checkbox"/> Preservation of a certified historic structure
<input type="checkbox"/> Preservation of open space	

2 Complete lines 2a through 2d if the organization held a qualified conservation contribution in the form of a conservation easement on the last day of the tax year.

	Held at the End of the Tax Year
a Total number of conservation easements .....	2a
b Total acreage restricted by conservation easements .....	2b
c Number of conservation easements on a certified historic structure included in (a) .....	2c
d Number of conservation easements included in (c) acquired after 8/17/06, and not on a historic structure listed in the National Register .....	2d

3 Number of conservation easements modified, transferred, released, extinguished, or terminated by the organization during the tax year ► .....

4 Number of states where property subject to conservation easement is located ► .....

5 Does the organization have a written policy regarding the periodic monitoring, inspection, handling of violations, and enforcement of the conservation easements it holds? .....

6 Staff and volunteer hours devoted to monitoring, inspecting, handling of violations, and enforcing conservation easements during the year ► .....

7 Amount of expenses incurred in monitoring, inspecting, handling of violations, and enforcing conservation easements during the year ► \$ .....

8 Does each conservation easement reported on line 2(d) above satisfy the requirements of section 170(h)(4)(B)(i) and section 170(h)(4)(B)(ii)? .....

9 In Part XIII, describe how the organization reports conservation easements in its revenue and expense statement, and balance sheet, and include, if applicable, the text of the footnote to the organization's financial statements that describes the organization's accounting for conservation easements.

**Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets.**

Complete if the organization answered 'Yes' on Form 990, Part IV, line 8.

1 a If the organization elected, as permitted under SFAS 116 (ASC 958), not to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide, in Part XIII, the text of the footnote to its financial statements that describes these items.

b If the organization elected, as permitted under SFAS 116 (ASC 958), to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide the following amounts relating to these items:

(i) Revenue included on Form 990, Part VIII, line 1. .... ► \$ .....

(ii) Assets included in Form 990, Part X. .... ► \$ .....

2 If the organization received or held works of art, historical treasures, or other similar assets for financial gain, provide the following amounts required to be reported under SFAS 116 (ASC 958) relating to these items:

a Revenue included on Form 990, Part VIII, line 1. .... ► \$ .....

b Assets included in Form 990, Part X. .... ► \$ .....

**Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets** (continued)

3 Using the organization's acquisition, accession, and other records, check any of the following that are a significant use of its collection items (check all that apply):

a ☐ Public exhibition

d ☐ Loan or exchange programs

b ☐ Scholarly research

e ☐ Other

c ☐ Preservation for future generations

4 Provide a description of the organization's collections and explain how they further the organization's exempt purpose in Part XIII.

5 During the year, did the organization solicit or receive donations of art, historical treasures, or other similar assets to be sold to raise funds rather than to be maintained as part of the organization's collection? ☐ Yes ☐ No

**Part IV Escrow and Custodial Arrangements.** Complete if the organization answered 'Yes' on Form 990, Part IV, line 9, or reported an amount on Form 990, Part X, line 21.

1 a Is the organization an agent, trustee, custodian or other intermediary for contributions or other assets not included on Form 990, Part X? ☐ Yes ☐ No

b If 'Yes,' explain the arrangement in Part XIII and complete the following table:

	Amount
c Beginning balance	1 c
d Additions during the year	1 d
e Distributions during the year	1 e
f Ending balance	1 f

2 a Did the organization include an amount on Form 990, Part X, line 21, for escrow or custodial account liability? ☐ Yes ☐ No

b If 'Yes,' explain the arrangement in Part XIII. Check here if the explanation has been provided on Part XIII ☐

**Part V Endowment Funds.** Complete if the organization answered 'Yes' on Form 990, Part IV, line 10.

	(a) Current year	(b) Prior year	(c) Two years back	(d) Three years back	(e) Four years back
1 a Beginning of year balance	26,232,709.	28,035,242.	29,815,181.	25,777,654.	20,677,105.
b Contributions	227,553.	211,552.	84,090.	1,500.	4,127,875.
c Net investment earnings, gains, and losses	3,143,568.	238,073.	531,047.	6,010,158.	2,778,159.
d Grants or scholarships					
e Other expenditures for facilities and programs	1,605,538.	2,252,158.	2,395,076.	1,974,131.	1,805,485.
f Administrative expenses					
g End of year balance	27,998,292.	26,232,709.	28,035,242.	29,815,181.	25,777,654.

2 Provide the estimated percentage of the current year end balance (line 1g, column (a)) held as:

a Board designated or quasi-endowment ▶ 29.00 %

b Permanent endowment ▶ 51.00 %

c Temporarily restricted endowment ▶ 20.00 %

The percentages on lines 2a, 2b, and 2c should equal 100%.

3 a Are there endowment funds not in the possession of the organization that are held and administered for the organization by:

(i) unrelated organizations

(ii) related organizations

	Yes	No
3a(i)	X	
3a(ii)		X
3b		

b If 'Yes' on line 3a(ii), are the related organizations listed as required on Schedule R?

4 Describe in Part XIII the intended uses of the organization's endowment funds. SEE PART XIII

**Part VI Land, Buildings, and Equipment.**

Complete if the organization answered 'Yes' on Form 990, Part IV, line 11a. See Form 990, Part X, line 10.

Description of property	(a) Cost or other basis (investment)	(b) Cost or other basis (other)	(c) Accumulated depreciation	(d) Book value
1 a Land		7,891,270.		7,891,270.
b Buildings		5,152,430.	2,741,557.	2,410,873.
c Leasehold improvements				
d Equipment		607,077.	496,948.	110,129.
e Other				
<b>Total.</b> Add lines 1a through 1e. (Column (d) must equal Form 990, Part X, column (B), line 10c.)				10,412,272.

BAA

Schedule D (Form 990) 2016

**Part VII Investments – Other Securities.**

N/A

Complete if the organization answered 'Yes' on Form 990, Part IV, line 11b. See Form 990, Part X, line 12.

(a) Description of security or category (including name of security)	(b) Book value	(c) Method of valuation: Cost or end-of-year market value
(1) Financial derivatives		
(2) Closely-held equity interests		
(3) Other		
(A)		
(B)		
(C)		
(D)		
(E)		
(F)		
(G)		
(H)		
(I)		
Total. (Column (b) must equal Form 990, Part X, column (B) line 12.)		

**Part VIII Investments – Program Related.**

N/A

Complete if the organization answered 'Yes' on Form 990, Part IV, line 11c. See Form 990, Part X, line 13.

(a) Description of investment	(b) Book value	(c) Method of valuation: Cost or end-of-year market value
(1)		
(2)		
(3)		
(4)		
(5)		
(6)		
(7)		
(8)		
(9)		
(10)		
Total. (Column (b) must equal Form 990, Part X, column (B) line 13.)		

**Part IX Other Assets.**

N/A

Complete if the organization answered 'Yes' on Form 990, Part IV, line 11d. See Form 990, Part X, line 15.

(a) Description	(b) Book value
(1)	
(2)	
(3)	
(4)	
(5)	
(6)	
(7)	
(8)	
(9)	
(10)	
Total. (Column (b) must equal Form 990, Part X, column (B) line 15.)	

**Part X Other Liabilities.**

Complete if the organization answered 'Yes' on Form 990, Part IV, line 11e or 11f. See Form 990, Part X, line 25

(a) Description of liability	(b) Book value
(1) Federal income taxes	
(2)	
(3)	
(4)	
(5)	
(6)	
(7)	
(8)	
(9)	
(10)	
(11)	
Total. (Column (b) must equal Form 990, Part X, column (B) line 25.)	

2. Liability for uncertain tax positions. In Part XIII, provide the text of the footnote to the organization's financial statements that reports the organization's liability for uncertain tax positions under FIN 48 (ASC 740). Check here if the text of the footnote has been provided in Part XIII. **SEE PART XIII. [X]**



**Part XI Reconciliation of Revenue per Audited Financial Statements With Revenue per Return.**

Complete if the organization answered 'Yes' on Form 990, Part IV, line 12a.

1	Total revenue, gains, and other support per audited financial statements	1	4,430,612.
2	Amounts included on line 1 but not on Form 990, Part VIII, line 12:		
a	Net unrealized gains (losses) on investments	2a	-394,921.
b	Donated services and use of facilities	2b	9,135.
c	Recoveries of prior year grants	2c	
d	Other (Describe in Part XIII.)	2d	
e	Add lines 2a through 2d	2e	-385,786.
3	Subtract line 2e from line 1	3	4,816,398.
4	Amounts included on Form 990, Part VIII, line 12, but not on line 1:		
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a	85,235.
b	Other (Describe in Part XIII.)	4b	
c	Add lines 4a and 4b	4c	85,235.
5	Total revenue. Add lines 3 and 4c. (This must equal Form 990, Part I, line 12.)	5	4,901,633.

**Part XII Reconciliation of Expenses per Audited Financial Statements With Expenses per Return.**

Complete if the organization answered 'Yes' on Form 990, Part IV, line 12a.

1	Total expenses and losses per audited financial statements	1	3,138,189.
2	Amounts included on line 1 but not on Form 990, Part IX, line 25:		
a	Donated services and use of facilities	2a	9,135.
b	Prior year adjustments	2b	
c	Other losses	2c	
d	Other (Describe in Part XIII.)	2d	
e	Add lines 2a through 2d	2e	9,135.
3	Subtract line 2e from line 1	3	3,129,054.
4	Amounts included on Form 990, Part IX, line 25, but not on line 1:		
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a	85,235.
b	Other (Describe in Part XIII.)	4b	
c	Add lines 4a and 4b	4c	85,235.
5	Total expenses. Add lines 3 and 4c. (This must equal Form 990, Part I, line 18.)	5	3,214,289.

**Part XIII Supplemental Information.**

Provide the descriptions required for Part II, lines 3, 5, and 9; Part III, lines 1a and 4; Part IV, lines 1b and 2b; Part V, line 4; Part X, line 2; Part XI, lines 2d and 4b; and Part XII, lines 2d and 4b. Also complete this part to provide any additional information.

**PART V, LINE 4 - INTENDED USES OF ENDOWMENT FUND**

ENDOWMENT FUNDS ARE HELD FOR THE PURPOSES DEFINED BY THE DONOR, AS APPLICABLE, OR FOR THE GENERAL BENEFIT OF ACR.

**PART X - FIN 48 FOOTNOTE**

ASC 740-10, ACCOUNTING FOR UNCERTAINTY IN INCOME TAXES - THE PREPARATION OF FINANCIAL STATEMENTS IN CONFORMITY WITH ACCOUNTING PRINCIPLES GENERALLY ACCEPTED IN THE UNITED STATES OF AMERICA REQUIRES THE CORPORATION TO REPORT INFORMATION REGARDING ITS EXPOSURE TO VARIOUS TAX POSITIONS TAKEN BY THE CORPORATION. THE

BAA

Schedule D (Form 990) 2016

**Part XIII** Supplemental Information *(continued)***PART X - FIN 48 FOOTNOTE (CONTINUED)**

CORPORATION HAS DETERMINED WHETHER ANY TAX POSITIONS HAVE MET THE RECOGNITION THRESHOLD AND HAVE MEASURED THE CORPORATION'S EXPOSURE TO THOSE TAX POSITIONS. MANAGEMENT BELIEVES THAT THE CORPORATION HAS ADEQUATELY ADDRESSED ALL RELEVANT TAX POSITIONS AND THAT THERE ARE NO UNRECORDED TAX LIABILITIES. FEDERAL AND STATE TAX AUTHORITIES GENERALLY HAVE THE RIGHT TO EXAMINE AND AUDIT THE PREVIOUS THREE YEARS OF TAX RETURNS FILED. ANY INTEREST OR PENALTIES ASSESSED TO THE CORPORATION ARE RECORDED IN OPERATING EXPENSES. NO INTEREST OR PENALTIES FROM FEDERAL OR STATE TAX AUTHORITIES WERE RECORDED IN THE ACCOMPANYING FINANCIAL STATEMENTS.

**SCHEDULE J**  
**(Form 990)**

Department of the Treasury  
Internal Revenue Service

**Compensation Information**

**For certain Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees**

► **Complete if the organization answered 'Yes' on Form 990, Part IV, line 23.**

► **Attach to Form 990.**

► **Information about Schedule J (Form 990) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).**

OMB No. 1545-0047

**2016**

**Open to Public Inspection**

Name of the organization

AUDUBON CANYON RANCH, INC.

Employer identification number

94-6069140

**Part I Questions Regarding Compensation**

**1 a** Check the appropriate box(es) if the organization provided any of the following to or for a person listed on Form 990, Part VII, Section A, line 1a. Complete Part III to provide any relevant information regarding these items.

☐ First-class or charter travel

☐ Travel for companions

☐ Tax indemnification and gross-up payments

☐ Discretionary spending account

☐ Housing allowance or residence for personal use

☐ Payments for business use of personal residence

☐ Health or social club dues or initiation fees

☐ Personal services (such as, maid, chauffeur, chef)

**b** If any of the boxes on line 1a are checked, did the organization follow a written policy regarding payment or reimbursement or provision of all of the expenses described above? If 'No,' complete Part III to explain

**2** Did the organization require substantiation prior to reimbursing or allowing expenses incurred by all directors, trustees, and officers, including the CEO/Executive Director, regarding the items checked in line 1a?

**3** Indicate which, if any, of the following the filing organization used to establish the compensation of the organization's CEO/Executive Director. Check all that apply. Do not check any boxes for methods used by a related organization to establish compensation of the CEO/Executive Director, but explain in Part III.

☐ Compensation committee

☐ Independent compensation consultant

☐ Form 990 of other organizations

☐ Written employment contract

☒ Compensation survey or study

☒ Approval by the board or compensation committee

**4** During the year, did any person listed on Form 990, Part VII, Section A, line 1a, with respect to the filing organization or a related organization:

**a** Receive a severance payment or change-of-control payment?

**b** Participate in, or receive payment from, a supplemental nonqualified retirement plan?

**c** Participate in, or receive payment from, an equity-based compensation arrangement?

If 'Yes' to any of lines 4a-c, list the persons and provide the applicable amounts for each item in Part III.

**Only section 501(c)(3), 501(c)(4), and 501(c)(29) organizations must complete lines 5-9.**

**5** For persons listed on Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the revenues of:

**a** The organization?

**b** Any related organization?

If 'Yes' on line 5a or 5b, describe in Part III.

**6** For persons listed on Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the net earnings of:

**a** The organization?

**b** Any related organization?

If 'Yes' on line 6a or 6b, describe in Part III.

**7** For persons listed on Form 990, Part VII, Section A, line 1a, did the organization provide any nonfixed payments not described on lines 5 and 6? If 'Yes,' describe in Part III.

**8** Were any amounts reported on Form 990, Part VII, paid or accrued pursuant to a contract that was subject to the initial contract exception described in Regulations section 53.4958-4(a)(3)?

If 'Yes,' describe in Part III.

**9** If 'Yes' on line 8, did the organization also follow the rebuttable presumption procedure described in Regulations section 53.4958-6(c)?

Yes No

1 b

2

4 a

4 b

4 c

5 a

5 b

6 a

6 b

7

8

9

X

X

X

X

X

X

X

X

X

**BAA For Paperwork Reduction Act Notice, see the Instructions for Form 990.**

**Schedule J (Form 990) 2016**

**Part II Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees.** Use duplicate copies if additional space is needed.

For each individual whose compensation must be reported on Schedule J, report compensation from the organization on row (i) and from related organizations, described in the instructions, on row (ii). Do not list any individuals that are not listed on Form 990, Part VII.

**Note:** The sum of columns (B)(i)-(iii) for each listed individual must equal the total amount of Form 990, Part VII, Section A, line 1a, applicable column (D) and (E) amounts for that individual.

(A) Name and Title	(B) Breakdown of W-2 and/or 1099-MISC compensation			(C) Retirement and other deferred compensation	(D) Nontaxable benefits	(E) Total of columns (B)(i)-(D)	(F) Compensation in column (B) reported as deferred on prior Form 990
	(i) Base compensation	(ii) Bonus & incentive compensation	(iii) Other reportable compensation				
JOHN PETERSEN	(i)						
1 EXECUTIVE DIR.	(ii) 131,670. 0.	0. 0.	0. 0.	0. 0.	36,233. 0.	167,903. 0.	0. 0.
2							
3							
4							
5							
6							
7							
8							
9							
10							
11							
12							
13							
14							
15							
16							

**Part III**    **Supplemental Information**

Provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 3, 4a, 4b, 4c, 5a, 5b, 6a, 6b, 7, and 8, and for Part II. Also complete this part for any additional information.

**SCHEDULE O**  
**(Form 990 or 990-EZ)**

Department of the Treasury  
Internal Revenue Service

Name of the organization

**Supplemental Information to Form 990 or 990-EZ**

Complete to provide information for responses to specific questions on  
Form 990 or 990-EZ or to provide any additional information.

► Attach to Form 990 or 990-EZ.

► Information about Schedule O (Form 990 or 990-EZ) and its instructions is  
at [www.irs.gov/form990](http://www.irs.gov/form990).

OMB No. 1545-0047

**2016**

Open to Public  
Inspection

Employer identification number

AUDUBON CANYON RANCH, INC.

94-6069140

**STATEMENT OF PROGRAM SERVICE ACCOMPLISHMENTS DETAIL**

[A] ACR'S STEWARDSHIP PROGRAM:

LIVING WITH LIONS (FORMERLY THE ACR MOUNTAIN LION PROJECT) - THIS IS A COMMUNITY CONSERVATION AND STEWARDSHIP PROJECT BUILDING ON THE INTENSE INTEREST AND CURIOSITY GENERATED BY ACR'S FIRST YEAR OF FIELD RESEARCH ON GPS COLLARED MOUNTAIN LIONS AND THEIR OFFSPRING IN THE SAN FRANCISCO NORTH BAY AREA. THE PROJECT WAS LAUNCHED IN JANUARY 2016 AND WE RECEIVED OUR PERMIT TO CAPTURE AND COLLAR MOUNTAIN LIONS IN JULY 2016. TO DATE, WE HAVE FITTED FIVE MOUNTAIN LIONS (4 FEMALES AND 1 MALE) WITH GPS COLLARS UNDER A PERMIT ISSUED BY THE CALIFORNIA DEPARTMENT OF FISH AND WILDLIFE. WE ALSO DEVELOPED CURRICULUM MATERIALS TO INTEGRATE INTO OUR SCHOOL PROGRAM, TRAINED OUR VOLUNTEERS ON HOW TO INTERACT WITH CHILDREN AND ADULTS ABOUT MOUNTAIN LION ECOLOGY, AND CONDUCTED PUBLIC PRESENTATIONS THAT REACHED OVER 1200 PEOPLE IN 2017.

FIRE FORWARD (FORMERLY ACR FIRE ECOLOGY PROGRAM) - ACR LAUNCHED ITS FIRE FORWARD PROGRAM IN JANUARY 2017 TO FACILITATE A RENEWED APPROACH TO OUR RELATIONSHIP WITH FIRE IN THE NORTH BAY AREA -- ONE THAT ACKNOWLEDGES OUR FIRE-ADAPTED AND FIRE-DEPENDENT LANDSCAPES AND INCORPORATES THIS UNDERSTANDING INTO ALL ASPECTS OF OUR REGIONAL CULTURE. IN MAY 2017, WE CONDUCTED 3 PRESCRIBED FIRES AT ACR'S BOUVIERIE PRESERVE, IN COOPERATION WITH CAL FIRE, OFFERING A TRAINING OPPORTUNITY FOR FIRE FIGHTERS FROM 14 AGENCIES, AND ADDRESSING FUEL MANAGEMENT AND ECOLOGICAL RESTORATION.

CLIMATE RESILIENCE ASSESSMENTS - TWO OF ACR'S CORE PRESERVES, AS WELL AS MANY OF OUR SMALLER PARCELS, ARE LOCATED ALONG THE COASTLINE AND WILL BE SUBJECT TO CHANGES DUE TO SEA LEVEL RISE AS WELL AS MORE FREQUENT HIGH-INTENSITY STORMS. IN 2017, WE CONTRACTED WITH A HYDROLOGICAL ENGINEER TO CONDUCT A HYDRO-GEOMORPHOLOGICAL

ASSESSMENT OF THE COASTAL FLOOD PLAINS IN THE FOUR MAIN CANYONS OF ACR'S MARTIN

Name of the organization

AUDUBON CANYON RANCH, INC.

Employer identification number

94-6069140

GRIFFIN PRESERVE ON BOLINAS LAGOON.

HABITAT RESTORATION AND INVASIVE SPECIES REMOVAL- ACR CONDUCTED HABITAT RESTORATION PROJECTS AND/OR INVASIVE SPECIES CONTROL AT ALL OF OUR PRESERVES AND SURROUNDING HABITATS IN 2017.

[B] ACR'S EDUCATION PROGRAM:

THE SCHOOL PROGRAM ENGAGED 5,359 STUDENTS IN 213 CLASSES FROM 87 SCHOOLS FROM 7 BAY AREA COUNTIES.

ACR NATURALIST VOLUNTEERS RECEIVE 120 HOURS OF CORE NATURAL SCIENCE AND ENVIRONMENTAL EDUCATION TRAINING DESIGNED TO INSPIRE LEARNERS OF ALL AGES AND BACKGROUNDS TO ENGAGE THEIR SENSES AS THEY EXPLORE ECOLOGICAL CONNECTIONS IN MULTIPLE HABITATS. ACR SUBSIDIZES BUS TRANSPORTATION COSTS FOR SCHOOLS IN UNDER-RESOURCED NEIGHBORHOODS, WHICH ELIMINATES THE PRIMARY BARRIER TO SCHOOL PARTICIPATION AND ENSURES THAT THOSE STUDENTS CAN TAKE PART.

THE JUNIPER PROGRAM IS NOW IN ITS 24TH YEAR AND IS A LONG-TERM ENGAGEMENT THAT OFFERS A HEAD START TO THE NEXT GENERATION OF ENVIRONMENTAL STEWARDS BY PROVIDING HANDS-ON CONSERVATION EXPERIENCES AND PEER MENTORING FOR PARTICIPANTS THROUGH GRADE TWELVE.

CONSERVATION SCIENCE INTENSIVE 2017

THIS PROGRAM IS LED BY ACR'S FEMALE CONSERVATION, STEWARDSHIP, AND EDUCATION STAFF. BASED AT THE BOUVERIE PRESERVE IN GLEN ELLEN, CSI 2017 INCLUDED A DAY TRIP TO ACR'S 3,000 ACRE MODINI MAYACAMAS PRESERVES NEAR HEALDSBURG, AND AN OVERNIGHT TRIP THAT INCLUDED A VISIT TO ACR'S TOMS POINT PRESERVE AND CYPRESS GROVE, RESEARCH CENTER ON TOMALES BAY, AND THE MARTIN GRIFFIN PRESERVE ON BOLINAS LAGOON, WHERE PARTICIPANTS INCREASED THEIR KNOWLEDGEBASE AND FIELD SKILLS IN NATURAL HISTORY, FIRE ECOLOGY,

Name of the organization

AUDUBON CANYON RANCH, INC.

Employer identification number

94-6069140

TROPHIC CASCADES, RADIO TELEMTRY, GEOGRAPHICAL INFORMATION SYSTEMS FOR RESOURCE MANAGEMENT, INVASIVE SPECIES MANAGEMENT, MARINE ECOLOGY, AND LEADERSHIP.

#### QUERCUS QUIRE

A 14 MEMBER CHOIR THAT ENGAGES YOUNGER LEARNERS IN SCIENCE AND ECOLOGY THROUGH SONG DELIVERED 18 PERFORMANCES TO K-3 GRADES

#### LIVING WITH LIONS (FORMERLY MOUNTAIN LION) EDUCATION

A NEW MOUNTAIN LION PROGRAM WAS DESIGNED AND DELIVERED TO 222 STUDENTS IN GRADES 1 THROUGH 3 TO 9

#### PUBLIC PROGRAMS

THE MARTIN GRIFFIN PRESERVE WAS OPEN FOR 13 SATURDAYS AND HOSTED 6 CONSERVATION SCIENCE TALKS AND 7 NATURE CONNECTION / FAMILY EVENTS. 49 VOLUNTEERS, 734 HOURS (NOT INCLUDING ADMIN AND LEADERSHIP ACTIVITIES). THE BOUVERIE PRESERVE HOSTED 11 GUIDED NATURE WALKS AND TWILIGHT HIKE DELIVERED BY 33 DOCENTS (189 HOURS)

#### ADULT EDUCATION

AT ACR, WE BELIEVE THAT ADULT EDUCATION IS NOT SIMPLY A MEANS TO AN END (E.G. TRAINING VOLUNTEERS TO LEAD SCHOOL HIKE OR WORK WITH THE PUBLIC). RATHER, WE SEE IT AS AN IMPORTANT COMMUNITY-BUILDING, LIFE-LONG LEARNING, AND PERSONAL DEVELOPMENT PROGRAM. THROUGH AN INTEGRATED PROGRAM STRUCTURE UNIQUE AMONG OUTDOOR EDUCATION ORGANIZATIONS, ACR NATURE EDUCATION RELIES ON ITS 500 VOLUNTEERS (DOCENTS, NATURE GUIDES, AND HOSTS) TO DELIVER BOTH OUR SCHOOL PROGRAMS AND OUR PUBLIC PROGRAMS.

#### MODINI MAYACAMAS PRESERVES

ALONG WITH REGULAR ORIENTATION HIKE, SEVERAL HIKE PER MONTH WERE OFFERED WITH



Name of the organization

AUDUBON CANYON RANCH, INC.

Employer identification number

94-6069140

TOPICS THAT INCLUDED: BIRDS & BOTANY, GEOLOGY OF THE MAYACAMAS, DOCUMENTING WILDLIFE, WILDFLOWERS, NATIVE TREE IDENTIFICATION, USEFUL PLANTS, AND OVERNIGHT ASTRONOMY. MMP ALSO HOSTED AN INSECT BIOBLITZ.

#### MENTORSHIP

WHILE ACR HAS ALWAYS VALUED THE ROLE OF MENTORS IN ITS EDUCATION PROGRAMS, A MORE FORMALIZED APPROACH TO MENTORSHIP IS UNDERWAY. ACR IS UNIQUELY POSITIONED WITH PROGRAMS THAT TOUCH ELEMENTARY GRADES THROUGH SENIOR LIFELONG LEARNERS. INCREASING CROSS-POLLINATION BETWEEN PROGRAMS AND AGE GROUPS ENHANCES ALL LEARNERS' EXPERIENCES

#### [C] ACR'S CONSERVATION SCIENCE PROGRAM:

HERONS AND EGRETS: ACR CONDUCTED ITS 27TH CONSECUTIVE YEAR OF MONITORING THE STATUS OF NESTING HERONS AND EGRETS--AT ALL KNOWN COLONY SITES IN THE NORTHERN SAN FRANCISCO BAY AREA--AND OUR 41ST YEAR OF MONITORING ALL HERON AND EGRET NESTING ATTEMPTS IN BOLINAS LAGOON. THIS AMAZING DATABASE PROVIDES ACR WITH UNPARALLELED OPPORTUNITIES TO UNDERSTAND THE REPRODUCTIVE REQUIREMENTS OF THESE ICONIC BIRDS. STATUS REPORTS RELEVANT TO THE MANAGEMENT OF THE LAGOON ARE POSTED ANNUALLY, AND THE RESULTS CONTRIBUTE TO NUMEROUS SCIENTIFIC CONTRIBUTIONS BY ACR.

IN JUNE OF 2017, WE LAUNCHED THE NEW HERON AND EGRET TELEMETRY PROJECT, A MAJOR SCIENTIFIC EFFORT USING GPS SATELLITE TELEMETRY TO TRACK THE MOVEMENTS, REGIONAL LANDSCAPE USE, AND FORAGING BEHAVIORS OF GREAT EGRETS THROUGHOUT THE SAN FRANCISCO BAY AREA. THE RESULTS WILL BE USED TO DETERMINE HOW KEY HABITAT FEATURES NEEDED FOR THE SURVIVAL OF THESE TOP WETLAND PREDATORS CAN BE USED TO ADVANCE WETLAND CONSERVATION PLANNING AND RESTORATION.

WATERBIRDS: IN THE WINTER OF 2016/17, WE COMPLETED OUR 28TH CONSECUTIVE YEAR OF

Name of the organization

AUDUBON CANYON RANCH, INC.

Employer identification number

94-6069140

MONITORING THE STATUS OF WATERBIRDS IN TOMALES BAY. WE COMPLETED A SCIENTIFIC PAPER, TO BE SUBMITTED FOR PUBLICATION, ON THE DEPENDENCE BY WINTERING WATERBIRD POPULATIONS IN TOMALES BAY ON THE SPAWNING ACTIVITIES OF PACIFIC HERRING, AN ECOLOGICALLY IMPORTANT SPECIES OF FORAGE FISH ALONG OUR COAST.

SHOREBIRDS: IN THE SPRING OF 2017, WE COMPLETED OUR 28TH CONSECUTIVE YEAR OF BAYWIDE MONITORING OF WINTERING AND MIGRATING SHOREBIRDS IN TOMALES BAY. WE PUBLISHED A SCIENTIFIC PAPER IN RESTORATION ECOLOGY, A LEADING PEER-REVIEWED INTERNATIONAL JOURNAL, ON "TIDAL MARSH RESTORATION STIMULATES THE GROWTH OF WINTER SHOREBIRD POPULATIONS IN A TEMPERATE ESTUARY."

LAND BIRDS: IN MAY 2017, WE COMPLETED THE SIXTH YEAR OF AVIAN POINT-COUNT SURVEYS, QUANTIFYING THE DENSITIES AND OCCURRENCE OF NESTING SONGBIRDS IN THE CENTRAL MAYACAMAS MOUNTAINS OF NORTHERN SONOMA COUNTY. BASED ON THIS WORK, WE IDENTIFIED THE APPROPRIATE MODELING APPROACH AND BEGAN INITIAL ANALYSIS FOR A SCIENTIFIC PAPER THAT WILL GENERATE PREDICTIVE MAPS OF HABITAT VALUES IN THE CENTRAL MAYACAMAS FOR OR EACH NESTING SONGBIRD SPECIES.

**FORM 990, PART VI, LINE 11B - FORM 990 REVIEW PROCESS****APPROVAL OF THE FINAL DRAFT FORM 990**

UPON RECEIPT OF A DRAFT COPY OF THE FORM 990 FROM THE TAX PREPARER, THE DIRECTOR OF FINANCE SHALL DISTRIBUTE THE DRAFT FORM 990 BY E-MAIL TO THE ORGANIZATION'S TREASURER, THE EXECUTIVE DIRECTOR AND, WHEN DEEMED APPROPRIATE, ANY OTHER BOARD MEMBER OR KEY STAFF EMPLOYEE FOR REVIEW AND COMMENTS. REVIEWERS SHOULD ADVISE THE DIRECTOR OF FINANCE BY E-MAIL OF SUGGESTED CHANGES OR MODIFICATIONS TO THE DRAFT FORM 990 WITHIN SEVEN (7) DAYS OF RECEIPT OF THE DRAFT.

THE AUTHORITY FOR APPROVAL OF A FINAL DRAFT COPY OF EACH ANNUAL FORM 990 SHALL REST WITH THE ORGANIZATION'S TREASURER, EXECUTIVE DIRECTOR AND DIRECTOR OF FINANCE. UPON

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**FORM 990, PART VI, LINE 11B - FORM 990 REVIEW PROCESS (CONTINUED)**

REVIEW AND ACCEPTANCE OF THE FINAL DRAFT COPY OF THE DRAFT FORM 990, THE TREASURER AND EXECUTIVE DIRECTOR SHALL ADVISE THE DIRECTOR OF FINANCE BY E-MAIL OF THEIR ACCEPTANCE. UPON RECEIPT OF THESE ACCEPTANCES, AND IF HE OR SHE CONCURS, THE DIRECTOR OF FINANCE SHALL ADVISE THE TAX PREPARER TO PREPARE AND ISSUE THE FINAL DRAFT COPY OF THE FORM 990.

**DISTRIBUTION OF FINAL DRAFT FORM 990 TO BOARD MEMBERS**

UPON RECEIPT OF THE FINAL DRAFT COPY OF THE FORM 990 FROM THE TAX ADVISOR, THE DIRECTOR OF FINANCE SHALL ARRANGE TO E-MAIL A COPY TO EACH BOARD MEMBER, IN COMPLIANCE WITH IRS RULES AND REGULATIONS. AFTER ASSURING DISTRIBUTION OF THE FINAL DRAFT FORM 990 TO EACH BOARD MEMBER, THE DIRECTOR OF FINANCE SHALL SO ADVISE THE TAX PREPARER AND THE EXECUTIVE OFFICER BY E-MAIL.

**AUTHORITY TO SIGN THE FORM 990**

THE AUTHORITY TO SIGN THE FORM 990 ON BEHALF OF THE ORGANIZATION IS HEREBY DELEGATED TO THE ORGANIZATION'S EXECUTIVE DIRECTOR. THE EXECUTIVE DIRECTOR SHALL ASSURE HIMSELF OR HERSELF THAT THE ABOVE REQUIREMENTS FOR APPROVAL AND DISTRIBUTION TO THE BOARD ARE COMPLETED BEFORE AFFIXING HIS SIGNATURE TO THE RETURN.

THE FORM 990 SHALL BE PHYSICALLY SIGNED BY THE EXECUTIVE DIRECTOR AND THE TAX PREPARER IN A MANNER TO BE DETERMINED BETWEEN THEMSELVES. THE RESPONSIBILITY FOR THE TIMELY MAILING OF THE SIGNED FORM 990 SHALL REST WITH THE EXECUTIVE DIRECTOR.

**FORM 990, PART VI, LINE 12C - EXPLANATION OF MONITORING AND ENFORCEMENT OF CONFLICTS PROCEDURES****1. DUTY TO DISCLOSE**

IN CONNECTION WITH ANY ACTUAL OR POSSIBLE CONFLICT OF INTEREST, AN INTERESTED PERSON MUST DISCLOSE THE EXISTENCE OF THE FINANCIAL INTEREST AND BE GIVEN THE OPPORTUNITY

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**FORM 990, PART VI, LINE 12C - EXPLANATION OF MONITORING AND ENFORCEMENT OF CONFLICTS (CONTINUED)**

TO DISCLOSE ALL MATERIAL FACTS TO THE DIRECTORS AND MEMBERS OF COMMITTEES WITH GOVERNING BOARD DELEGATED POWERS CONSIDERING THE PROPOSED TRANSACTION OR ARRANGEMENT.

**2.DETERMINING WHETHER A CONFLICT OF INTEREST EXISTS**

AFTER DISCLOSURE OF THE FINANCIAL INTEREST AND ALL MATERIAL FACTS, AND AFTER ANY DISCUSSION WITH THE INTERESTED PERSON, HE/SHE SHALL LEAVE THE GOVERNING BOARD OR COMMITTEE MEETING WHILE THE DETERMINATION OF A CONFLICT OF INTEREST IS DISCUSSED AND VOTED UPON. THE REMAINING BOARD OR COMMITTEE MEMBERS SHALL DECIDE IF A CONFLICT OF INTEREST EXISTS.

**3.PROCEDURES FOR ADDRESSING THE CONFLICT OF INTEREST**

(A) AN INTERESTED PERSON MAY MAKE A PRESENTATION AT THE GOVERNING BOARD OR COMMITTEE MEETING, BUT AFTER THE PRESENTATION, HE/SHE SHALL LEAVE THE MEETING DURING THE DISCUSSION OF, AND THE VOTE ON, THE TRANSACTION OR ARRANGEMENT INVOLVING THE POSSIBLE CONFLICT OF INTEREST.

(B) THE CHAIRPERSON OF THE GOVERNING BOARD OR COMMITTEE SHALL, IF APPROPRIATE, APPOINT A DISINTERESTED PERSON OR COMMITTEE TO INVESTIGATE ALTERNATIVES TO THE PROPOSED TRANSACTION OR ARRANGEMENT.

(C) AFTER EXERCISING DUE DILIGENCE, THE GOVERNING BOARD OR COMMITTEE SHALL DETERMINE WHETHER THE ORGANIZATION CAN OBTAIN WITH REASONABLE EFFORTS A MORE ADVANTAGEOUS TRANSACTION OR ARRANGEMENT FROM A PERSON OR ENTITY THAT WOULD NOT GIVE RISE TO A CONFLICT OF INTEREST.

(D) IF A MORE ADVANTAGEOUS TRANSACTION OR ARRANGEMENT IS NOT REASONABLY POSSIBLE UNDER CIRCUMSTANCES NOT PRODUCING A CONFLICT OF INTEREST, THE GOVERNING BOARD OR COMMITTEE SHALL DETERMINE BY A MAJORITY VOTE OF THE DISINTERESTED DIRECTORS WHETHER THE TRANSACTION OR ARRANGEMENT IS IN THE ORGANIZATION'S BEST INTEREST, FOR ITS OWN BENEFIT, AND WHETHER IT IS FAIR AND REASONABLE. IN CONFORMITY WITH THE

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**FORM 990, PART VI, LINE 12C - EXPLANATION OF MONITORING AND ENFORCEMENT OF CONFLICTS (CONTINUED)**

ABOVE DETERMINATION IT SHALL MAKE ITS DECISION AS TO WHETHER TO ENTER INTO THE TRANSACTION OR ARRANGEMENT.

**4. VIOLATIONS OF THE CONFLICTS OF INTEREST POLICY**

(A) IF THE GOVERNING BOARD OR COMMITTEE HAS REASONABLE CAUSE TO BELIEVE AN INTERESTED PERSON HAS FAILED TO DISCLOSE ACTUAL OR POSSIBLE CONFLICTS OF INTEREST, IT SHALL INFORM THE MEMBER OF THE BASIS FOR SUCH BELIEF AND AFFORD THE INTERESTED PERSON AN OPPORTUNITY TO EXPLAIN THE ALLEGED FAILURE TO DISCLOSE.

(B) IF, AFTER HEARING THE INTERESTED PERSON'S RESPONSE AND AFTER MAKING FURTHER INVESTIGATION AS WARRANTED BY THE CIRCUMSTANCES, THE GOVERNING BOARD OR COMMITTEE DETERMINES THE INTERESTED PERSON HAS FAILED TO DISCLOSE AN ACTUAL OR POSSIBLE CONFLICT OF INTEREST, IT SHALL TAKE APPROPRIATE DISCIPLINARY AND CORRECTIVE ACTION.

**FORM 990, PART VI, LINE 15B - COMPENSATION REVIEW & APPROVAL PROCESS - OFFICERS & KEY EMPLOYEES PROCESS****1. REVIEW AND APPROVAL.**

COMPENSATION OF A COVERED PERSON SHALL BE APPROVED BY THE BOARD OR THE COMMITTEE, PROVIDED THAT ANY MEMBER OF THE BOARD OR THE COMMITTEE SHALL ABSTAIN FROM REVIEW AND APPROVAL WITH RESPECT TO ANY COMPENSATION ARRANGEMENT TO WHICH HE OR SHE IS AN INTERESTED PARTY OR TO WHICH A CONFLICT OF INTEREST EXISTS. ALL PERSONS PARTICIPATING IN THE DETERMINATION OF COMPENSATION UNDER THE POLICY SHALL BE SUBJECT TO THE ORGANIZATION'S CONFLICT OF INTEREST OF POLICY.

THE DETERMINATION OF COMPENSATION UNDER THE POLICY SHALL OCCUR IN ADVANCE OF THE ORGANIZATION'S PAYING ANY SUCH COMPENSATION.

**2. USE OF DATA AS TO COMPARABLE COMPENSATION.**

IN MAKING THE DETERMINATION AS TO THE STRUCTURE OR AMOUNT OF COMPENSATION PAYABLE TO ANY COVERED PERSON, THE BOARD AND THE COMMITTEE SHALL REVIEW AND CONSIDER

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**FORM 990, PART VI, LINE 15B - COMPENSATION REVIEW & APPROVAL PROCESS - OFFICERS & KEY EMPLOYEES (C**

AT LEAST ONE OF THE FOLLOWING SOURCES OF DATA:

A. DATA REGARDING COMPENSATION PAID TO SIMILARLY QUALIFIED

PERSONS IN FUNCTIONALLY COMPARABLE POSITIONS AT SIMILARLY SITUATED ORGANIZATIONS.

B. CURRENT COMPENSATION SURVEYS COMPILED BY INDEPENDENT

FIRMS.

C. ACTUAL, WRITTEN OFFERS FROM SIMILARLY SITUATED

ORGANIZATIONS.

3. CONTEMPORANEOUS DOCUMENTATION AND RECORDKEEPING.

THE ORGANIZATION SHALL DOCUMENT AND KEEP CONTEMPORANEOUS AND ACCURATE

RECORDS WITH RESPECT TO DELIBERATIONS AND APPROVAL OF COMPENSATION, DETERMINED

PURSUANT TO THIS POLICY. THE FOLLOWING DETAILS OF THE DELIBERATIONS AND

COMPENSATION ARRANGEMENTS SHALL BE DOCUMENTED IN WRITING:

A. THE DATE AND TERMS OF APPROVED COMPENSATION ARRANGEMENTS

WILL BE DOCUMENTED IN WRITING.

B. THE DECISIONS MADE BY EACH INDIVIDUAL WHO DECIDED OR VOTED

ON COMPENSATION ARRANGEMENTS.

C. THE INFORMATION USED TO DETERMINE THAT THE COMPENSATION

ARRANGEMENT DECIDED UPON IS COMPARABLE TO COMPENSATION PAID TO SIMILARLY QUALIFIED

PERSONS IN FUNCTIONALLY COMPARABLE POSITIONS AT SIMILARLY SITUATED ORGANIZATIONS AND

THE SOURCE OF SUCH INFORMATION.

**FORM 990, PART VI, LINE 19 - OTHER ORGANIZATION DOCUMENTS PUBLICLY AVAILABLE**

CORPORATION DOCUMENTS ARE AVAILABLE ON THE WEBSITE AND UPON REQUEST AT THE OFFICE.